

① a) Characteristic properties of a metal.

(i) Fermi surface lies inside a band  
— continuous distribution of excitations at low energies  $\rightarrow 0$ .  
 $\rightarrow$  conductor.

(ii) Screening — Bohr constant  
$$\frac{1}{r} \Rightarrow \frac{e^{-r/\lambda}}{r}$$

$\lambda$  = Thomas Fermi screening length  
 $\propto (a_B \lambda_F)^{1/2}$  geometric mean of  
Bohr radius & Fermi wavelength  
( $\lambda_F = 2\pi/k_F$ )

• Added charge completely screened on length scale  $\lambda_F$

- No electric fields inside a metal.
- $\epsilon(q, \omega=0) = 1 + q_{TF}^2/q^2$ .

(iii) Electronic specific heat at low T  
$$C_{el} = \gamma T \quad \gamma \approx g k_B^2$$
  
where  $g$  is density of states at  $E_F$

(iv) Pauli susceptibility  $M = \chi H$   
 $\propto \mu_B^2 g$  where  $\mu_B$  is Bohr magneton.

(v) Plasmons — collective mode of sloshing of charge —  
$$\vec{D} = \epsilon \epsilon_0 \vec{E}$$
  
$$\epsilon(q, \omega, \omega) = 1 - \frac{\omega_p^2}{\omega^2} \quad \omega_p^2 = \frac{ne^2}{\epsilon_0 m}$$

Note that  $E$  is non-zero at  $\omega_p = \omega$  even if applied field  $\vec{E} = \vec{E}_{\text{external}} = 0$   
Seen by energy-loss spectroscopy.

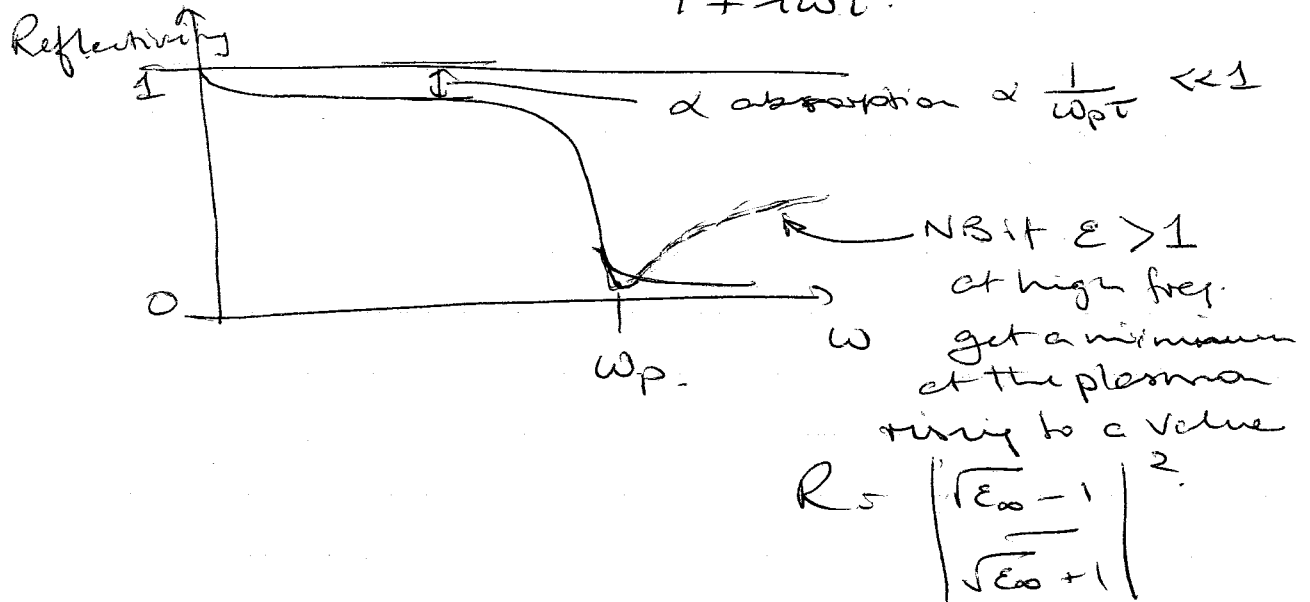
(vi) Metal is a good reflector, since

$$n = \sqrt{\epsilon} \quad \text{and} \quad \epsilon < 0 \Rightarrow n \text{ imaginary} \\ \rightarrow \text{Total reflection,}$$

Actually, small absorption

$$\Rightarrow \epsilon = 1 - \frac{\omega_p^2}{\omega^2 + i\omega/\tau}$$

$$\text{or } \sigma(\omega) = \frac{e_0 \omega_p^2 \tau}{1 + i\omega\tau}$$



## (b) Hartree-Fock theory of the electron gas.

Hartree-Fock is ~~the~~ a variational theory which gives the best single determinantal wavefunction

$$\begin{vmatrix} \psi_1(r, \sigma) & \dots & \psi_1(r_N, \sigma_N) \\ \vdots & & \vdots \\ \psi_N(r, \sigma) & \dots & \psi_N(r_N, \sigma_N) \end{vmatrix}$$

In the electron gas, translational invariance leads to  $\psi_i(r) \sim e^{i\mathbf{k}_i \cdot \mathbf{r}}$  — plane waves. All that remains is the spin occupancy. In a paramagnet we naturally will occupy states  $k_i \uparrow$  and  $k_i \downarrow$  equally for all  $k$ .

Substituting in the many-particle SE, we get the total energy is

$$E_{\text{HF}} = E_K + E_{\text{Dirac}} + E_x.$$

$$\begin{aligned} & \text{K.E.} \rightarrow \sum_k \epsilon_k \\ & = \frac{3}{5} E_F \\ & \sim k_F^2 \sim n^{2/3} \end{aligned}$$

↓ Coulomb interaction of electron with all the other electrons → cancels with the positive background charge

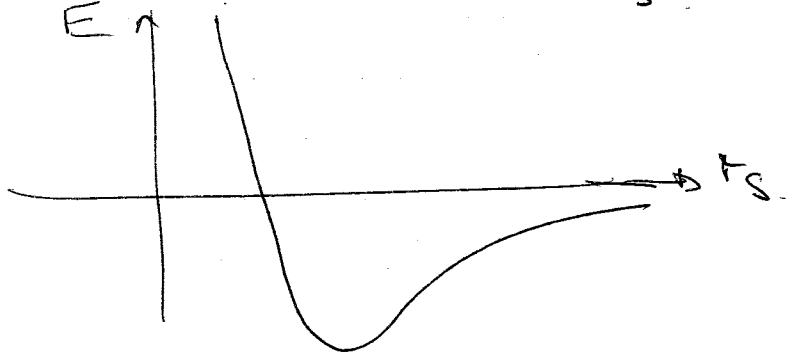
$$E_x = \langle 12 | \frac{e^2}{r} | 21 \rangle \quad \text{vanishes unless states 1, 2 have the same spin.}$$

$$\approx \frac{e^2}{4\pi\epsilon_0 a} \sim n^{1/3}.$$

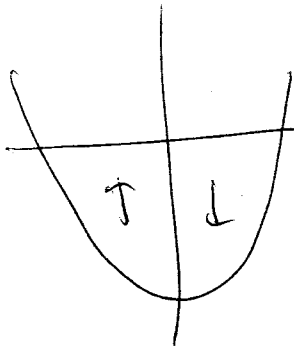
Dimensionally, measure energies in Rydbergs,  
Lengths in units of Bohr radius.

$$\frac{4\pi}{3}(a_B r_s)^3 = \frac{1}{n}.$$

$$E/\text{Ryd} \sim \frac{A}{r_s^2} - \frac{B}{r_s} + \text{corrections beyond HF}$$



Suppose we considered a FM arrangement  
of spins —



→ large KE because  
 $K_F \rightarrow K_F \sqrt{3}$ .

→ more negative exchange  
because twice as many  
terms.

Stable for  
small  $r_s$

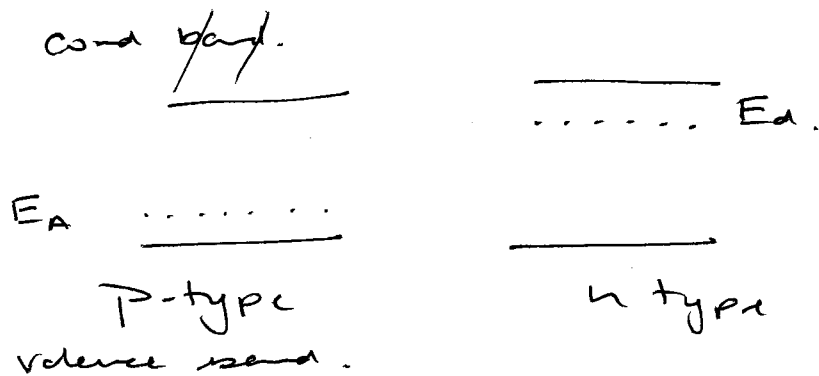
→ Stable for  
large  $r_s$ .

Transition predicted by HF theory  
at around  $r_s \sim 5$ .

Real # is uncertain, but definitely  
much larger.

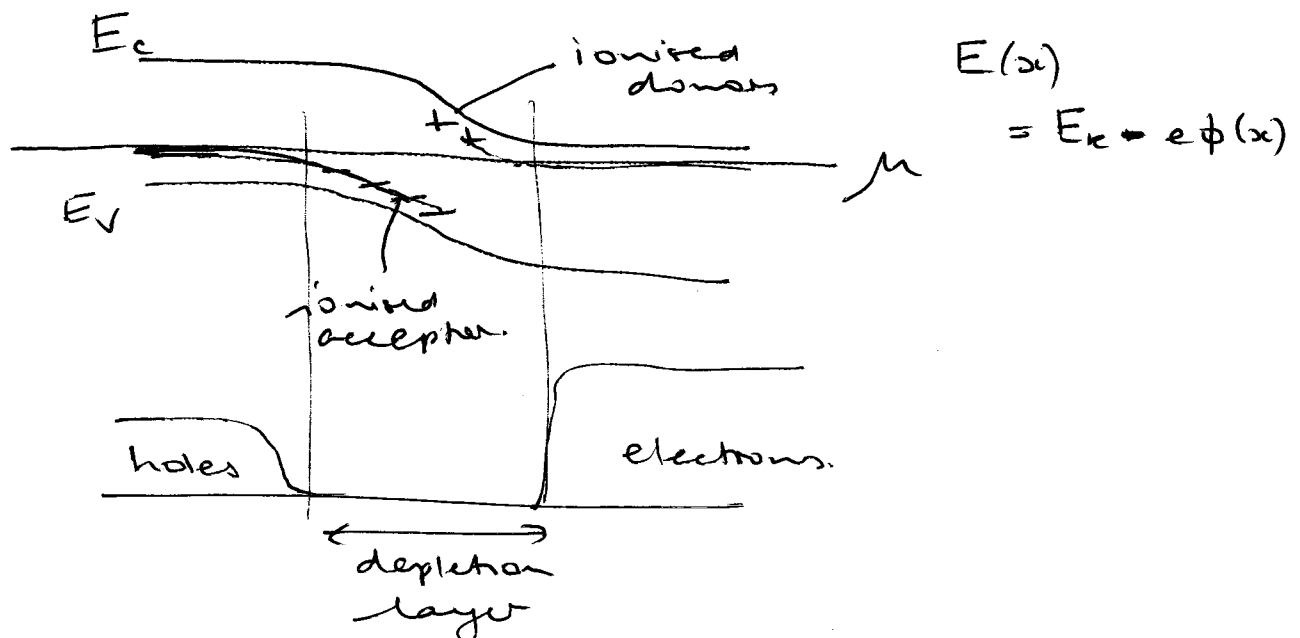
# 1(c) The Operation of a P-n junction.

A p-n junction is formed by inhomogeneous doping as in the figure below



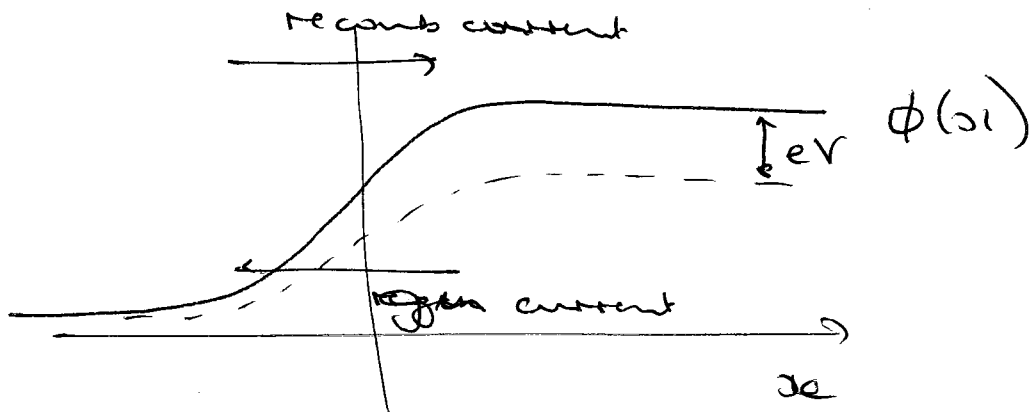
If the two sides are placed in contact, the chemical potential must be constant in equilibrium.

- $\Rightarrow$  Transfer of electrons from n-to p-side
- $\Rightarrow$  Dipole layer of electrostatic potential formed by ionised donors / acceptors.
- $\Rightarrow$  Generates electric potential  $\phi(x)$  that cancels the band offset



A p-n junction will rectify.

Suppose an external potential is applied



Overall barrier is  $e(\phi_0 - V)$ .

There must be a current from L to R of holes

$$J_{\text{recomb}}^h \approx J_0 e^{-e(\phi_0 - V)/kT}$$

balanced by a current of minority holes from R to L.

$$J_{\text{gen}}^h$$

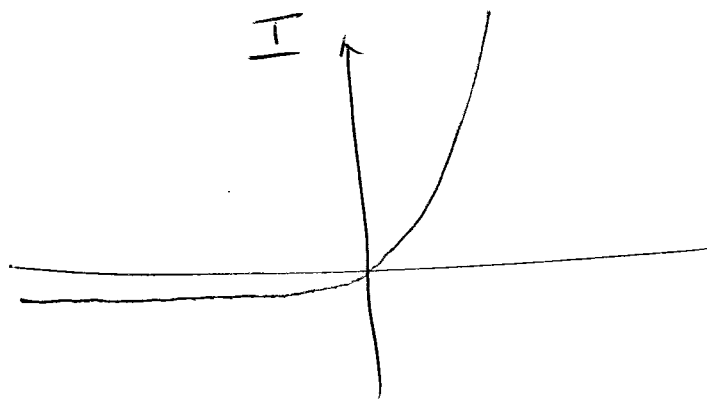
At zero bias, net current vanishes.

$$\Rightarrow J^h(V) \approx J \left( e^{eV/kT} - 1 \right)$$

where  $J$  is a parameter

Same form for electrons (velocities in opp direction, electrical currents of same sign)

$$\therefore I(V) = I_0 \left[ e^{(eV/kT)} - 1 \right]$$



Diode I-V  
characteristic

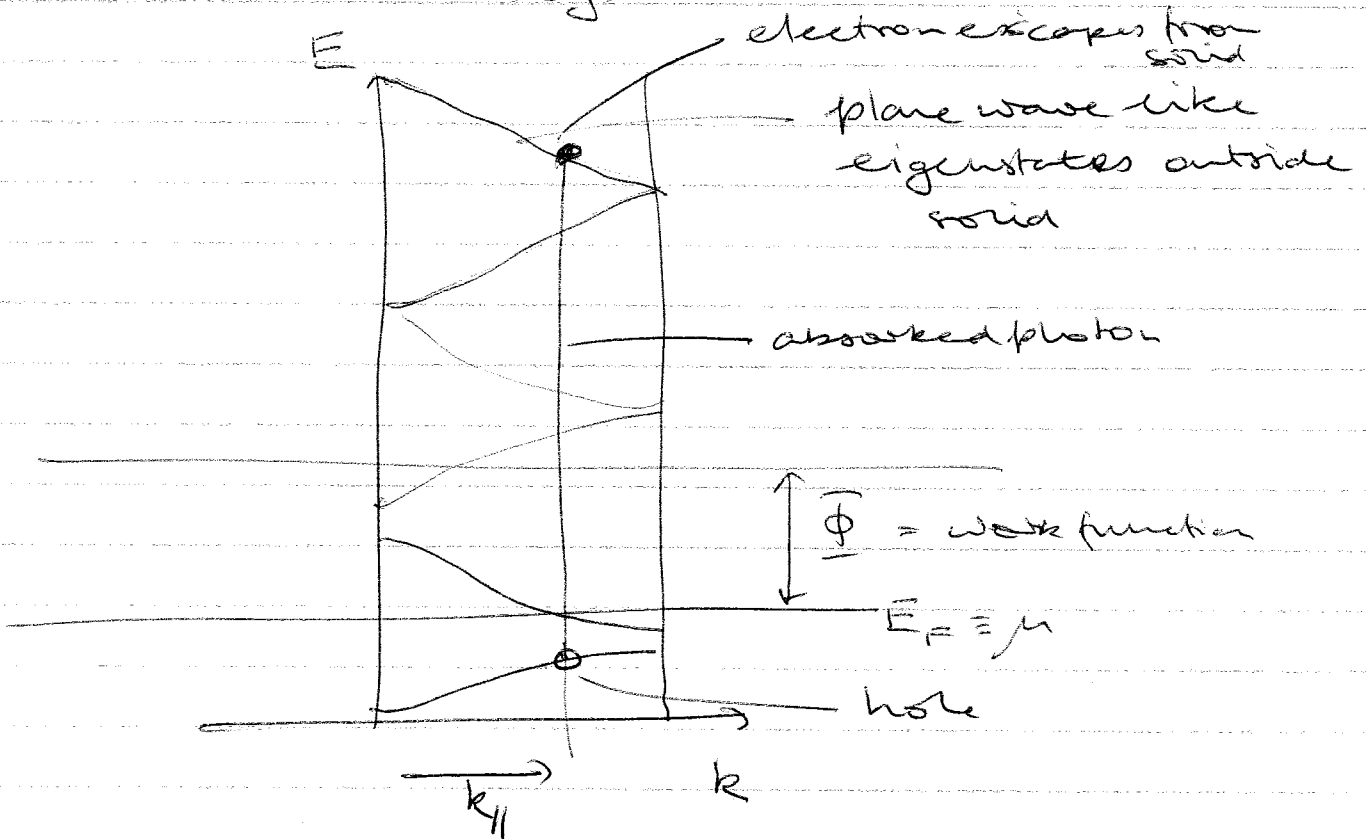
→ a  
rectifier.  
if  $eV/kT \gg 1$

P-n junctions are also used  
as solar cells (light creates carriers  
that are separated in the inbuilt potential  
 $\phi$ ).

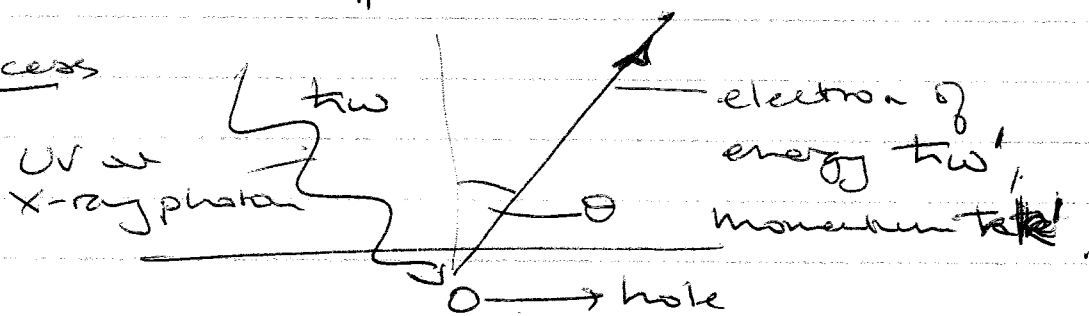
or light-emitting diodes (incident  
e-h pairs recombine to create light)

# (d) Photoemission Spectroscopy

Electrons described by bands



Process

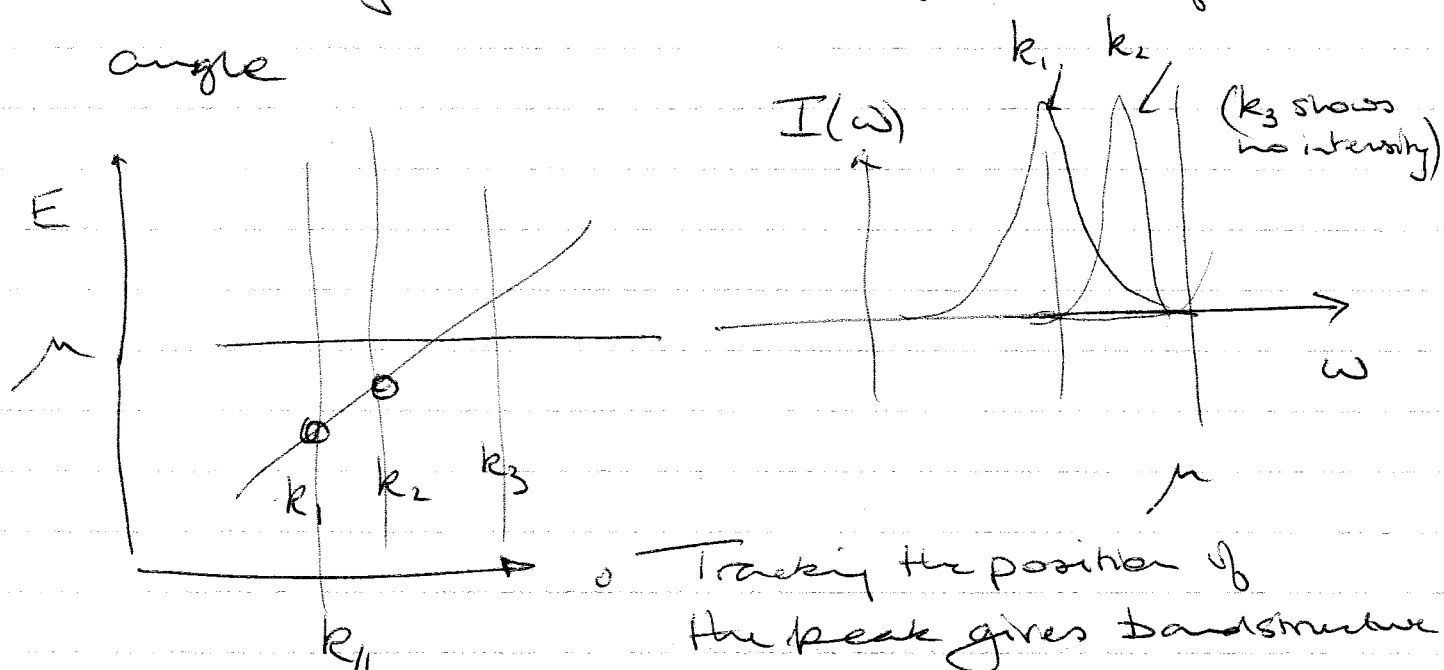


By measuring energy & momentum of emitted electron, determine momentum and energy of the "hole" left in the sample

Usually, plot spectrum as a function of energy — integrating over angle gives the full density of states

— at particular angles  $\theta$  know the momentum of the hole  $k_{||}$

Plotting spectrum as a function of angle



- Tracking the position of the peak gives bandstructure
- Width of the peak is the lifetime of a quasi-particle

Easiest to untangle this if one has a layered material with 2D dispersion. ( $k_{\perp}$  not conserved).

Probe is surface sensitive — usually only a few Å penetrated into the material.

Needs a clean surface — roughness destroys the momentum conservation.

Can measure only the occupied bands.