

# Quantum Quenches in Chern Insulators

Nigel Cooper

Cavendish Laboratory, University of Cambridge

*CUA Seminar*

M.I.T., November 10th, 2015

Marcello Caio & Joe Bhaseen (KCL), Stefan Baur (Cambridge)

M.D. Caio, NRC & M.J. Bhaseen, [arXiv:1504.01910](https://arxiv.org/abs/1504.01910)

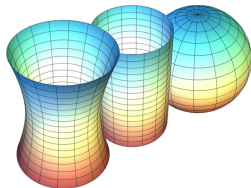
S. Baur & NRC, *Phys. Rev. A* **88**, 033603 (2013)

The logo for the Engineering and Physical Sciences Research Council (EPSRC), consisting of the letters 'EPSRC' in a bold, serif font, with a horizontal line above and below the text.

Engineering and Physical Sciences  
Research Council

# Topological Invariants

Gaussian curvature  $\kappa = \frac{1}{R_1 R_2}$



negative, zero and positive  $\kappa$

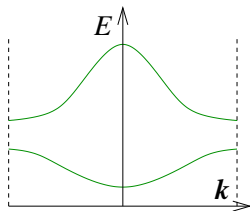
$$\frac{1}{2\pi} \int_{\text{closed surface}} \kappa dA = (2 - 2g) \quad \text{Gauss-Bonnet Theorem}$$

genus  $g = 0, 1, 2, \dots$  for sphere, torus, 2-hole torus...

Topological invariant:  $g$  cannot change under smooth deformations

# Topological Features of 2D Bands

[Thouless, Kohmoto, Nightingale & den Nijs (1982)]



Chern number  $\nu = \frac{1}{2\pi} \int_{\text{BZ}} d^2\mathbf{k} \Omega_{\mathbf{k}}$

Berry curvature  $\Omega_{\mathbf{k}} = -i \nabla_{\mathbf{k}} \times \langle u | \nabla_{\mathbf{k}} u \rangle \cdot \hat{\mathbf{z}}$   
crystal momentum  $\mathbf{k}$ , Bloch state  $|u_{\mathbf{k}}\rangle$

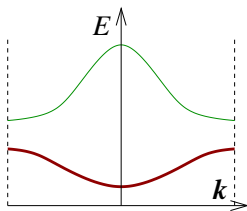
Topological invariant:

$\nu$  cannot change under smooth variations of the energy band

## Physical Consequences

Chern band filled with fermions  
("Chern insulator"):

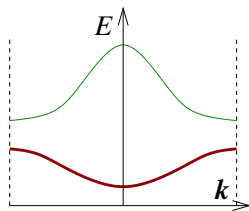
- quantized Hall effect,  $\sigma_{xy} = \nu \frac{e^2}{h}$



[TKNN (1982)]

## Physical Consequences

Chern band filled with fermions  
("Chern insulator"):



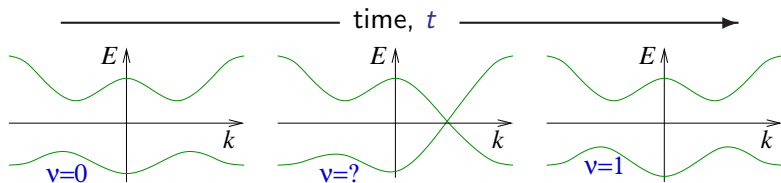
- quantized Hall effect,  $\sigma_{xy} = \nu \frac{e^2}{h}$

[TKNN (1982)]

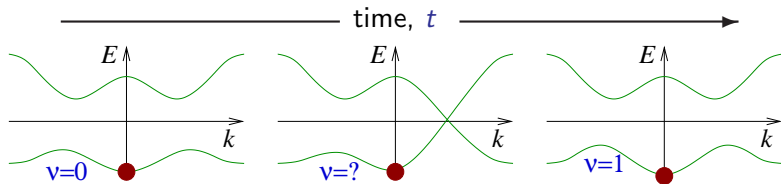
- $\nu$  gapless chiral edge states



## Dynamical changes in band topology?



## Dynamical changes in band topology?

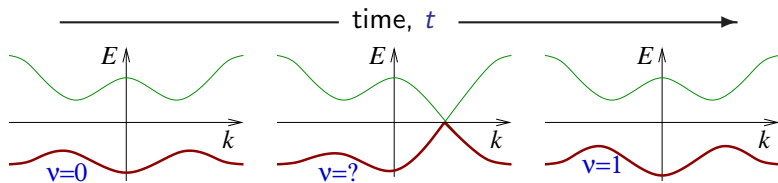


Bosons / BEC: Adiabatic

– Adiabatic formation of vortex lattice

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

## Dynamical changes in band topology?



### Bosons / BEC: Adiabatic

- Adiabatic formation of vortex lattice

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

### Fermionic Band Insulator: Non-adiabatic

- Effects of quenching between band topologies?

[M.D. Caio, NRC & M.J. Bhaseen, arXiv:1504.01910]



# Outline

## Bosons: Adiabatic Formation of Vortex Lattice

Harper-Hofstadter Model

Adiabatic Route

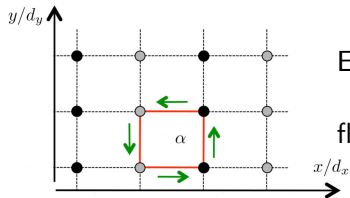
## Fermions: Quench of Band Topology

Haldane Model

Preservation of Chern Number

Relaxation of Edge Currents

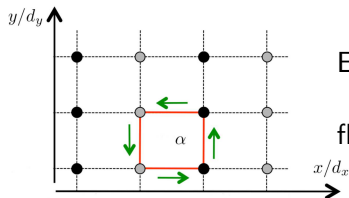
# Harper-Hofstadter Model



Effective magnetic field

$$\text{flux density } n_\phi = \frac{\alpha}{2\pi}$$

# Harper-Hofstadter Model



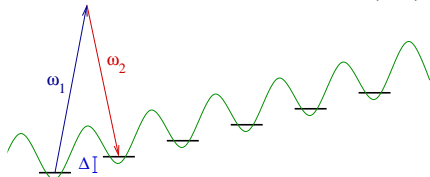
Effective magnetic field

$$\text{flux density } n_\phi = \frac{\alpha}{2\pi}$$

Imprint phases on tunneling matrix elements

[Jaksch & Zoller '03; Mueller '04; Sørensen, Demler & Lukin '05; Gerbier & Dalibard 2010; Struck *et al.* (2012)...]

e.g. tilted lattice [MIT, LMU]



$$J_{\text{eff}} \sim \frac{\Omega_1 \Omega_2^*}{\Delta'}$$

inherits phase difference of the Raman beams

# Harper-Hofstadter Spectrum

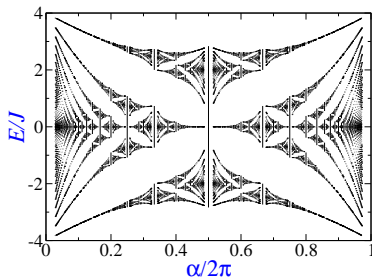
$$\text{Flux density } n_\phi = \frac{\alpha}{2\pi}$$

$$n_\phi = p/q:$$

–  $q$  bands with (in general) non-zero Chern numbers

– weakly interacting BEC in band minimum

⇒ vortex lattice with vortex density  $n_\phi$



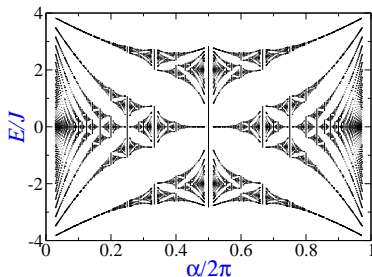
# Harper-Hofstadter Spectrum

$$\text{Flux density } n_\phi = \frac{\alpha}{2\pi}$$

$$n_\phi = p/q:$$

- $q$  bands with (in general) non-zero Chern numbers
- weakly interacting BEC in band minimum  
 $\Rightarrow$  vortex lattice with vortex density  $n_\phi$

Can one adiabatically create such high vortex densities?

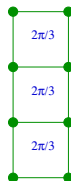


# Adiabatic Route: Essential Idea

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

e.g.  $n_\phi = 1/3$  ( $\alpha = 2\pi/3$ )

magnetic unit cell

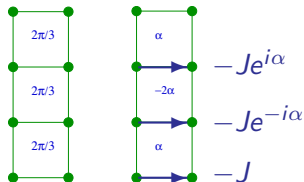


# Adiabatic Route: Essential Idea

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

e.g.  $n_\phi = 1/3$  ( $\alpha = 2\pi/3$ )

magnetic unit cell

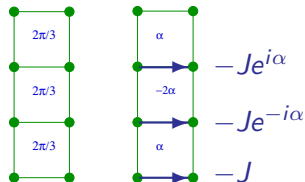


# Adiabatic Route: Essential Idea

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

e.g.  $n_\phi = 1/3$  ( $\alpha = 2\pi/3$ )

magnetic unit cell



For fixed unit cell, vary phase  $\alpha = 0 \rightarrow 2\pi/3$

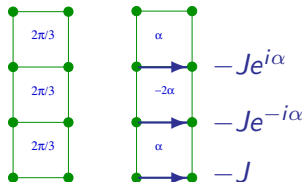


# Adiabatic Route: Essential Idea

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

e.g.  $n_\phi = 1/3$  ( $\alpha = 2\pi/3$ )

magnetic unit cell



For fixed unit cell, vary phase  $\alpha = 0 \rightarrow 2\pi/3$

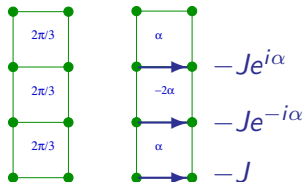
e.g. RF + Raman  $J e^{i\phi} = J_{\text{RF}} + J_{\text{Raman}} e^{-i\frac{2\pi}{3a}y}$

# Adiabatic Route: Essential Idea

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

e.g.  $n_\phi = 1/3$  ( $\alpha = 2\pi/3$ )

magnetic unit cell



For fixed unit cell, vary phase  $\alpha = 0 \rightarrow 2\pi/3$

e.g. RF + Raman  $Je^{i\phi} = J_{\text{RF}} + J_{\text{Raman}} e^{-i\frac{2\pi}{3a}y}$

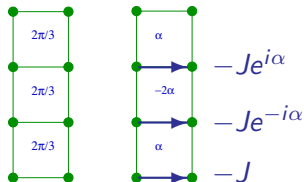
$\Rightarrow$  adiabatic path from uniform BEC to vortex lattice

# Adiabatic Route: Essential Idea

[S. Baur & NRC, Phys. Rev. A **88**, 033603 (2013)]

e.g.  $n_\phi = 1/3$  ( $\alpha = 2\pi/3$ )

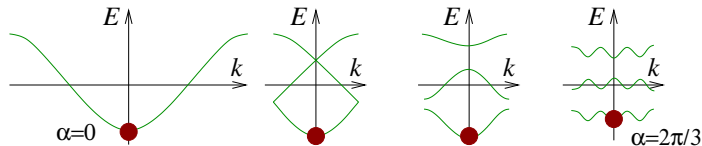
magnetic unit cell



For fixed unit cell, vary phase  $\alpha = 0 \rightarrow 2\pi/3$

e.g. RF + Raman  $Je^{i\phi} = J_{\text{RF}} + J_{\text{Raman}} e^{-i\frac{2\pi}{3a}y}$

$\Rightarrow$  adiabatic path from uniform BEC to vortex lattice

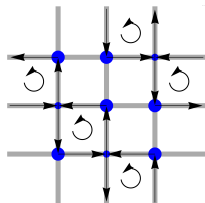


## Adiabatic Route: more specifically...

Repulsive interactions select a particular vortex lattice unit cell

Same periodicity as  $J_{x,y} = J_{\text{RF}} + J_{\text{Raman}} e^{-i(x+y)\frac{2\pi}{3a}}$  [cf. MIT, LMU]

|            |            |            |
|------------|------------|------------|
| $\alpha$   | $\alpha$   | $-2\alpha$ |
| $-2\alpha$ | $\alpha$   | $\alpha$   |
| $\alpha$   | $-2\alpha$ | $\alpha$   |



BEC is loaded into the stable vortex lattice as  $\alpha = 0 \rightarrow 2\pi/3$

# Outline

## Bosons: Adiabatic Formation of Vortex Lattice

Harper-Hofstadter Model

Adiabatic Route

## Fermions: Quench of Band Topology

Haldane Model

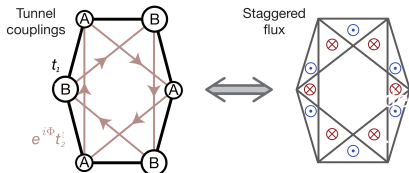
Preservation of Chern Number

Relaxation of Edge Currents

# Haldane Model [F. D. M. Haldane, PRL **61**, 2015 (1988)]

Cold atom realization:

[Jotzu *et al.* [ETH], Nature **515**, 237 (2014)]



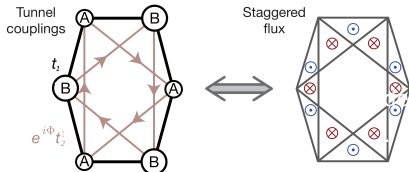
Honeycomb lattice  
 A, B sublattices

$$\hat{H} = -t_1 \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + \text{h.c.})$$

# Haldane Model [F. D. M. Haldane, PRL 61, 2015 (1988)]

Cold atom realization:

[Jotzu *et al.* [ETH], Nature 515, 237 (2014)]



Honeycomb lattice  
 A, B sublattices

$$\hat{H} = -t_1 \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + \text{h.c.}) - t_2 \sum_{\langle\langle i,j \rangle\rangle} (e^{i\varphi_{ij}} \hat{c}_i^\dagger \hat{c}_j + \text{h.c.})$$

nearest neighbour

next n.n.

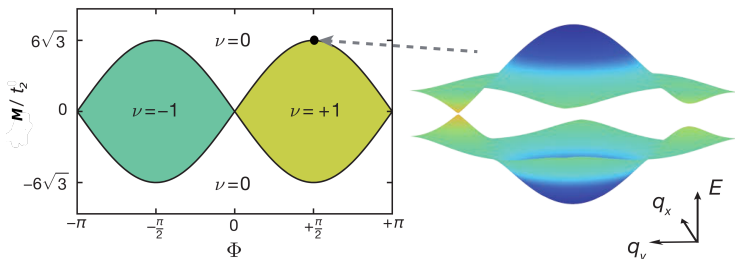
$$+ M \sum_{i \in A} \hat{n}_i - M \sum_{i \in B} \hat{n}_i$$

$\varphi_{ij} = \pm\varphi$  breaks time-reversal symmetry

$M$  breaks inversion symmetry

$\Rightarrow$  band gap

# Haldane Model: Phase Diagram



[After Jotzu *et al.* [ETH], Nature **515**, 237 (2014)]

Boundaries where gaps close at two Dirac points

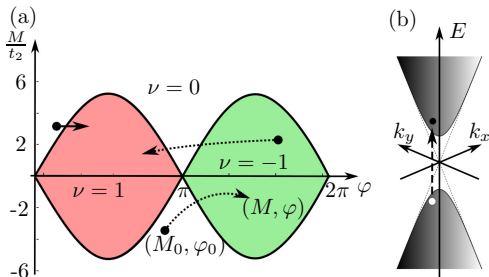
**Non-topological ( $\nu = 0$ )**

**Topological ( $\nu = \pm 1$ )**



# Quenches in the Haldane Model

[Marcello Caio, NRC & Joe Bhaseen, arXiv:1504.01910]

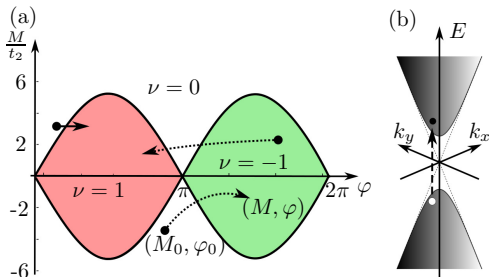


Change Chern number of lowest band (sign of  $m_\alpha$ )

$$\begin{pmatrix} +m_\alpha & -c[k_x + i\alpha k_y] \\ -c[k_x - i\alpha k_y] & -m_\alpha \end{pmatrix}$$

# Quenches in the Haldane Model

[Marcello Caio, NRC & Joe Bhaseen, arXiv:1504.01910]



Change Chern number of lowest band (sign of  $m_\alpha$ )

$$\begin{pmatrix} +m_\alpha & -c[k_x + i\alpha k_y] \\ -c[k_x - i\alpha k_y] & -m_\alpha \end{pmatrix}$$

- non-interacting fermions
- isolated system (unitary evolution)

# (1) Momentum space: time-evolution of Chern number, $\nu$

Occupied single particle states:

$$|\psi_\alpha(\mathbf{k})\rangle = a_\alpha(\mathbf{k})e^{-iE_\alpha^l(k)t} |l_\alpha(\mathbf{k})\rangle + b_\alpha(\mathbf{k})e^{-iE_\alpha^u(k)t} |u_\alpha(\mathbf{k})\rangle$$

[l/u denote lower/upper bands]

# (1) Momentum space: time-evolution of Chern number, $\nu$

Occupied single particle states:

$$|\psi_\alpha(\mathbf{k})\rangle = a_\alpha(\mathbf{k})e^{-iE_\alpha^l(k)t} |l_\alpha(\mathbf{k})\rangle + b_\alpha(\mathbf{k})e^{-iE_\alpha^u(k)t} |u_\alpha(\mathbf{k})\rangle$$

[l/u denote lower/upper bands]

Chern number of the state

$$\nu(t) = \sum_{\alpha=\pm 1} -\alpha \text{sign } m_\alpha \left( \frac{1}{2} - |b_\alpha(0)|^2 \right) - |b_\alpha(\infty)| |a_\alpha(\infty)| \cos[(E_\alpha^u(\infty) - E_\alpha^l(\infty))t + \delta]$$

But,  $b_\alpha(\infty) = 0$  always... so Chern number of state unchanged

## Preservation of Chern Number

Band Hamiltonian describes a “spin” in an effective magnetic field

$$\hat{H}_{\mathbf{k}} = -\mathbf{h}_{\mathbf{k}} \cdot \hat{\sigma}$$

band gap  $\Rightarrow |\mathbf{h}_{\mathbf{k}}| \neq 0$

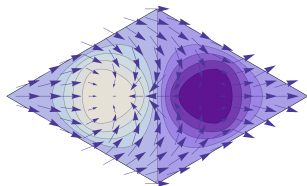
## Preservation of Chern Number

Band Hamiltonian describes a “spin” in an effective magnetic field

$$\hat{H}_{\mathbf{k}} = -\mathbf{h}_{\mathbf{k}} \cdot \hat{\sigma} \quad \text{band gap} \Rightarrow |\mathbf{h}_{\mathbf{k}}| \neq 0$$

$\nu$  is the number of times  $\frac{\mathbf{h}_{\mathbf{k}}}{|\mathbf{h}_{\mathbf{k}}|}$  wraps the sphere in the BZ

e.g. BZ of the honeycomb lattice



The “spins” precess in new  $\mathbf{h}_{\mathbf{k}}$ , but preserve their winding number

[D’Alessio & Rigol, arXiv:1409.6319]

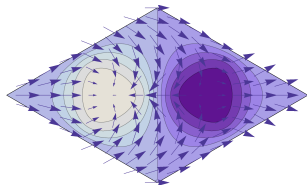
## Preservation of Chern Number

Band Hamiltonian describes a “spin” in an effective magnetic field

$$\hat{H}_{\mathbf{k}} = -\mathbf{h}_{\mathbf{k}} \cdot \hat{\sigma} \quad \text{band gap} \Rightarrow |\mathbf{h}_{\mathbf{k}}| \neq 0$$

$\nu$  is the number of times  $\frac{\mathbf{h}_{\mathbf{k}}}{|\mathbf{h}_{\mathbf{k}}|}$  wraps the sphere in the BZ

e.g. BZ of the honeycomb lattice



The “spins” precess in new  $\mathbf{h}_{\mathbf{k}}$ , but preserve their winding number

[D’Alessio & Rigol, arXiv:1409.6319]

Out of equilibrium, the Chern number of the *state* is different from that of the (new) *Hamiltonian*

## (2) Real Space: Edge States and Edge Currents

At equilibrium, the Chern insulator  
has  $\nu$  gapless edge states





## (2) Real Space: Edge States and Edge Currents

At equilibrium, the Chern insulator  
has  $\nu$  gapless edge states



How do edge currents change after a quench to new Hamiltonian?

## (2) Real Space: Edge States and Edge Currents

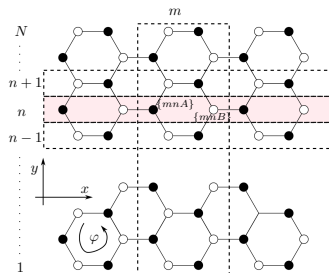
At equilibrium, the Chern insulator has  $\nu$  gapless edge states



How do edge currents change after a quench to new Hamiltonian?

Finite-width strip (infinite length)

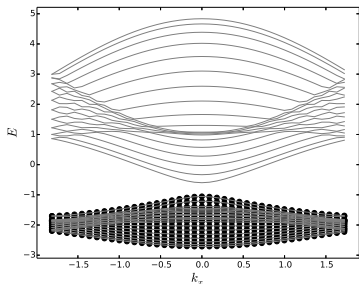
$N$  rows of lattice sites



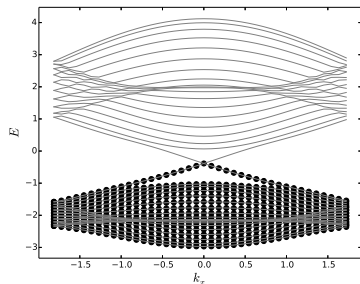
## Edge States

$$t_1 = 1, t_2 = 1/3, M = 1, N = 20$$

Non-topological ( $\varphi = \pi/6$ )



Topological ( $\varphi = \pi/3$ )

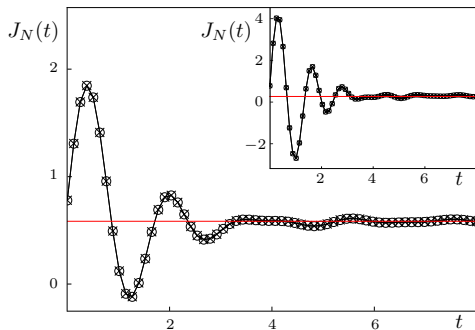


**Topological phase has edge states**

# Dynamics of Edge Currents

## Quench from topological to non-topological phase

$$[t_1 = 1, t_2 = 1/3, \varphi = \pi/3, M = 1.4 \rightarrow 1.6 \text{ (inset, 2.2)}]$$



$N = 30$  (circles)

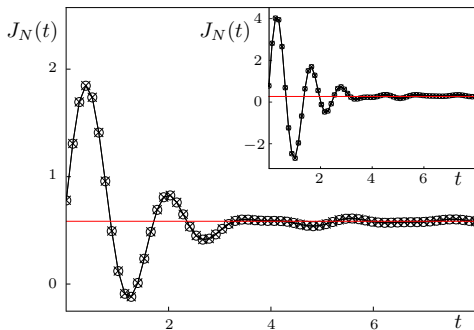
$N = 40$  (crosses)

**Red Line:** Ground state current of final Hamiltonian

# Dynamics of Edge Currents

## Quench from topological to non-topological phase

$$[t_1 = 1, t_2 = 1/3, \varphi = \pi/3, M = 1.4 \rightarrow 1.6 \text{ (inset, 2.2)}]$$



$N = 30$  (circles)

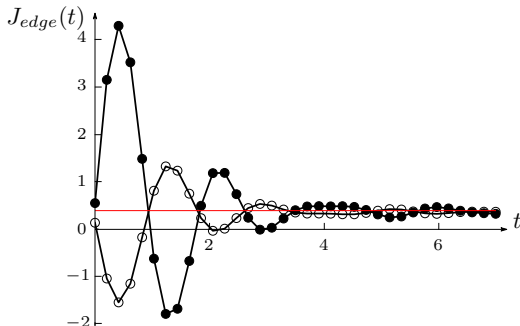
$N = 40$  (crosses)

**Red Line:** Ground state current of final Hamiltonian

⇒ fast relaxation to (close to) the ground state edge current of the new Hamiltonian

# Dynamics of Edge Currents

## Quench from non-topological to topological phase



**Red Line:** Ground state current of final Hamiltonian

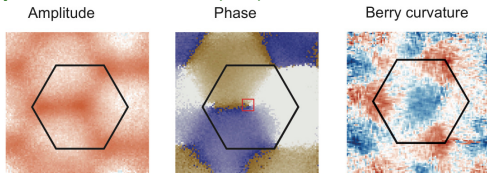
$\Rightarrow$  fast relaxation to (close to) the ground state edge current of the new Hamiltonian

# Experimental Consequences

(1) Momentum space: Preservation of the Chern number  $\nu$

Measure the *wave function*, e.g. by time-of-flight

[Zhao *et al.*, PRA **84**, 063629 (2011); Alba *et al.*, PRL **107**, 235301 (2011); Hauke *et al.*, PRL **113**, 045303 (2014)]



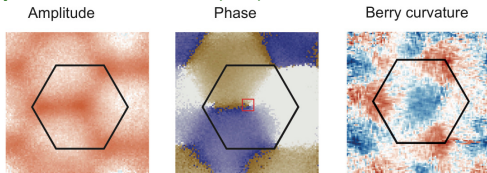
[Fläschner *et al.*[Hamburg], arXiv:1509.05763]

## Experimental Consequences

(1) Momentum space: Preservation of the Chern number  $\nu$

Measure the *wave function*, e.g. by time-of-flight

[Zhao *et al.*, PRA **84**, 063629 (2011); Alba *et al.*, PRL **107**, 235301 (2011); Hauke *et al.*, PRL **113**, 045303 (2014)]



[Fläschner *et al.*[Hamburg], arXiv:1509.05763]

(2) Real space: Relaxation dynamics of edge currents

Measure currents, e.g. as for two-leg ladders

[M. Atala, M. Aidelsburger, M. Lohse, J. T. Barreiro, B. Paredes & I. Bloch, Nat. Phys. **10**, 588 (2014)]

[Local version (microscope) should show light-cone spreading]



## Summary

- Optical lattices allow dynamical changes in the topology of energy bands.
  - For a BEC, the evolution can be adiabatic:
    - allows *adiabatic* preparation dense vortex lattices.
  - For fermionic band insulators, the dynamics is *non-adiabatic*:
    - the Chern number of the state is preserved;
    - edge currents quickly relax to (close to) the equilibrium for the new Hamiltonian.
- ⇒ Out of equilibrium there can be a sharp distinction between the topology of the state and local observables.