

GIPAW: A solid-state theory for NMR

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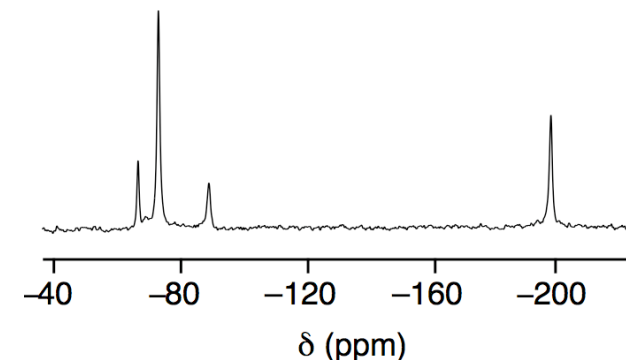
Materials Modelling Laboratory, Oxford Materials

NMR parameters

we will focus on non-metallic, diamagnetic materials

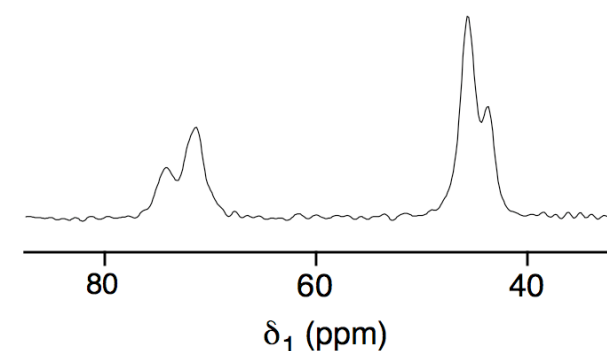
Chemical Shift

Small changes in precession
frequency of nucleus
sharp peaks



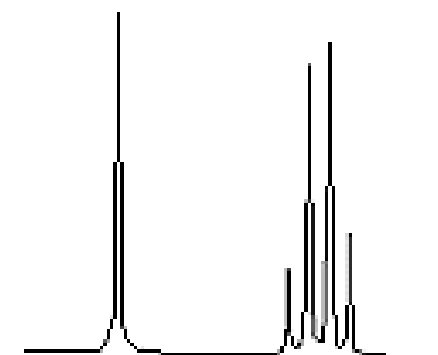
Quadrupolar coupling (EFG)

nuclei $\text{spin} > 1/2$ interact with local
electric field gradients
Characteristic broad peaks

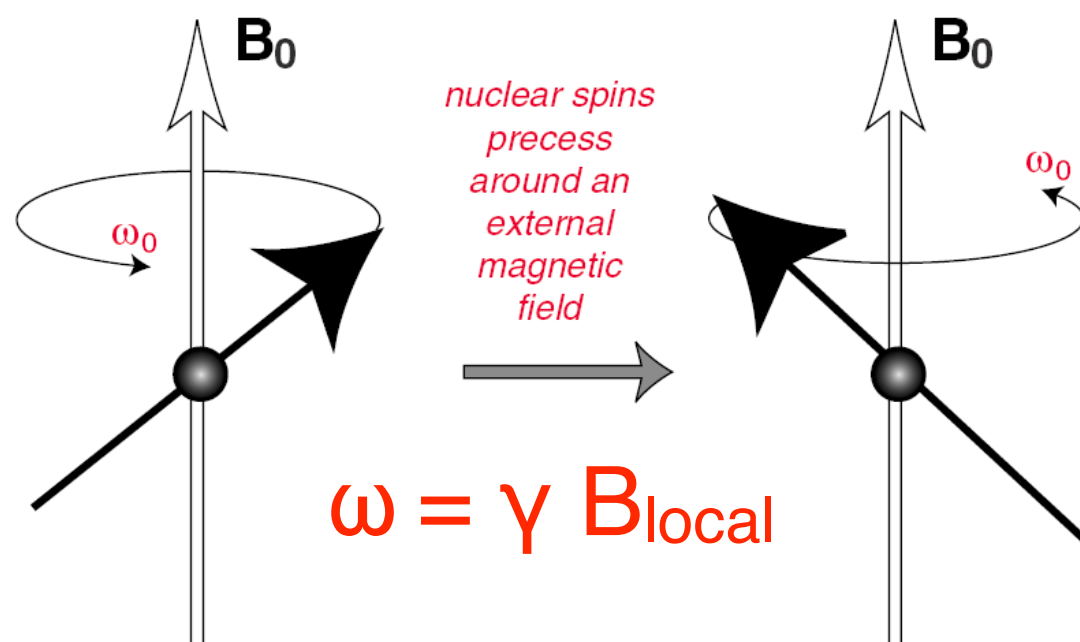


spin-spin coupling (eg J-coupling)

splitting of resonance due to nucleus-
nucleus interaction
hard to observe in solids....



Magnetic Shielding

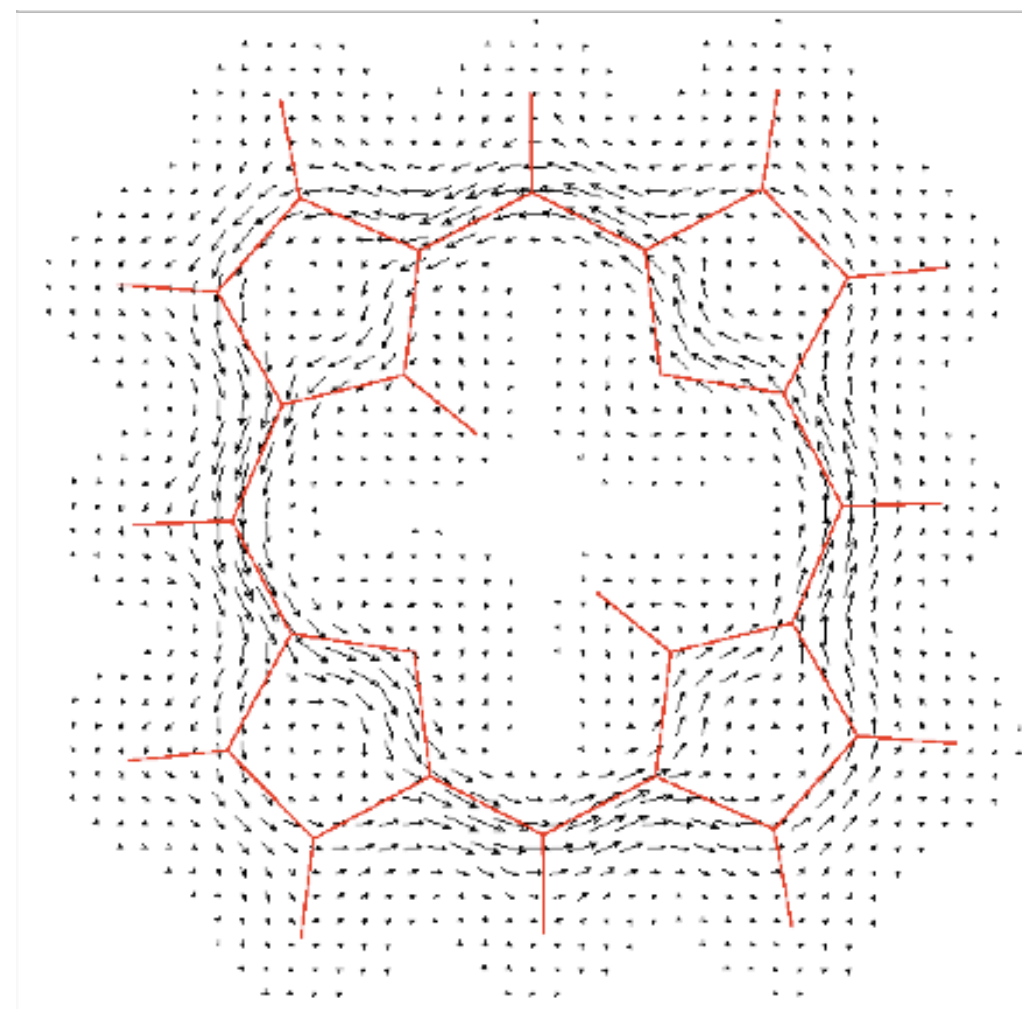


$$B_{\text{local}} = B_0 + B_{\text{induced}}$$

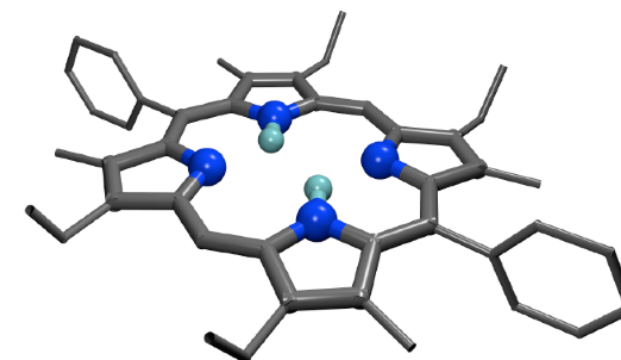
$$B_{\text{induced}} = -\sigma B_0$$

magnetic shielding

The flow of orbital currents induced by the external magnetic field causes a spatial variation in the local magnetic field. This is characterised by the **magnetic shielding tensor**



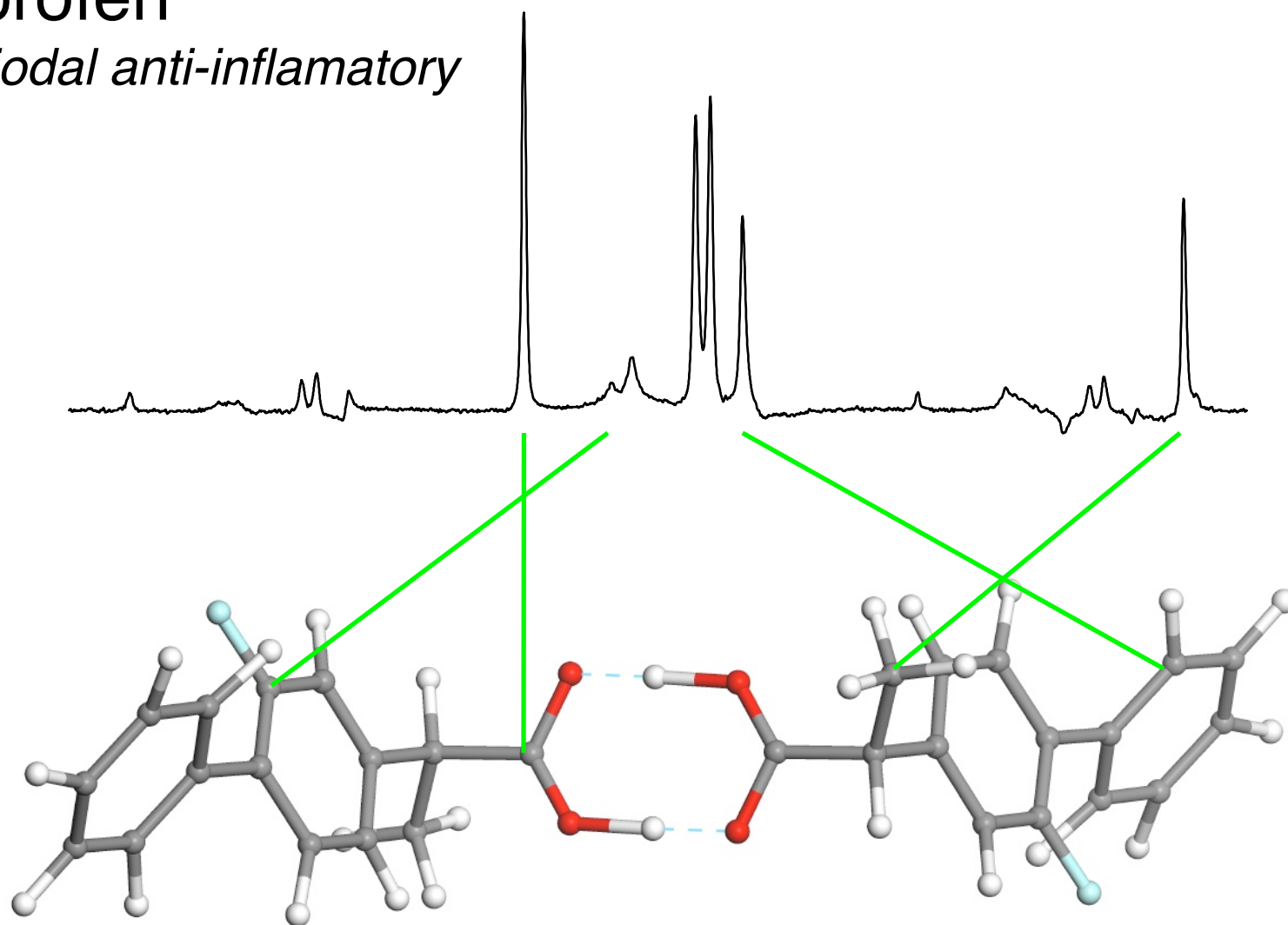
Orbital Current induced by B-field in Porphyrin ring



^{13}C NMR

Flurbiprofen

non-steroidal anti-inflammatory



Each distinct C atom experiences a different magnetic field and resonates at a unique frequency.

Measure the change wrt a standard (for ^{13}C this is liquid tetramethylsilane)

$$\delta_{\text{iso}} = \frac{(\omega - \omega_{\text{ref}}) \times 10^6}{\omega_{\text{ref}}}$$

chemical shift
(ppm)

$$\delta_{\text{iso}} = \sigma_{\text{ref}} - \sigma_{\text{iso}}$$

magnetic shielding
(from calc)

current to shift

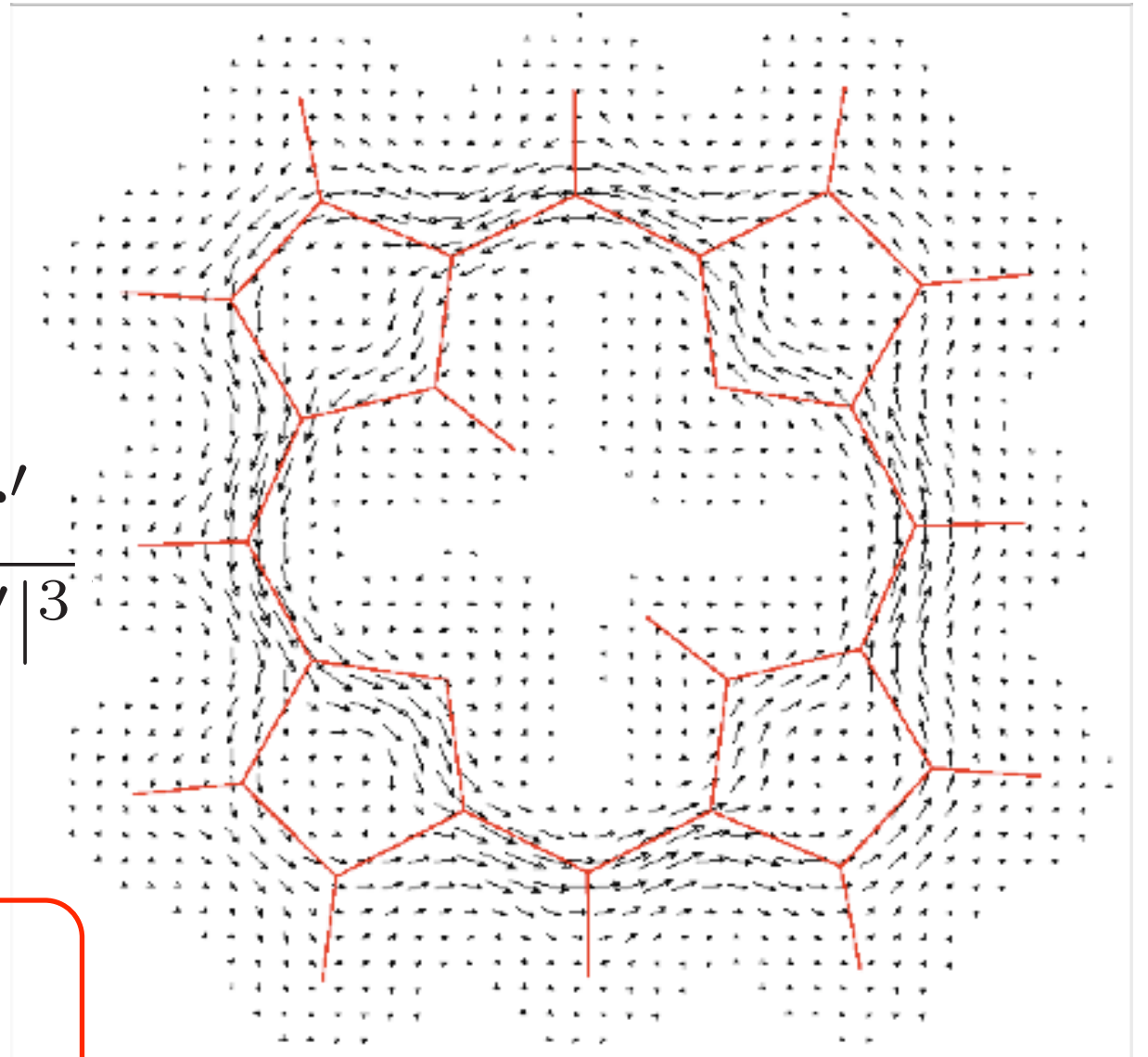
To compute the chemical shifts we just need to calculate the current induced by the external magnetic field

Biot-Savart

$$\mathbf{B}_{\text{in}}(\mathbf{r}) = \frac{1}{c} \int d^3r' \mathbf{j}(\mathbf{r}') \times \frac{\mathbf{r} - \mathbf{r}'}{|\mathbf{r} - \mathbf{r}'|^3}$$

Obtain current within perturbation theory (linear response)

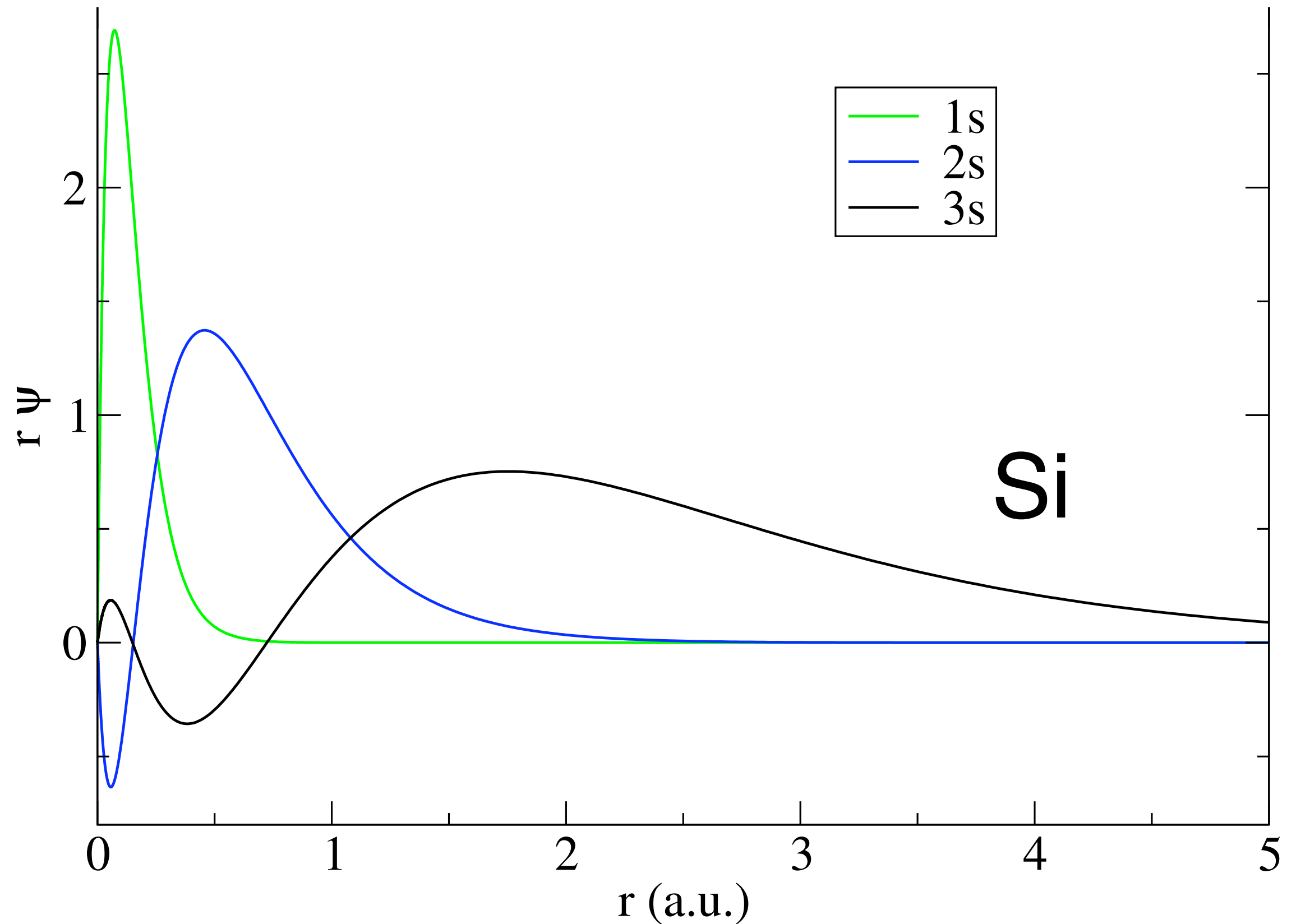
$$O = O^{(0)} + O^{(1)} + \mathcal{O}(\mathbf{B}^2)$$



$$\mathbf{B}_{\text{in}} = -\sigma \mathbf{B}_0$$

note: σ is a rank 2 tensor

Atomic states



Periodic Calculations

To simulate periodic systems planewaves are a convenient choice: however describing the tightly bound core states, and oscillatory part of the valence states close to the nucleus are prohibitively expensive. We must approximate...

Frozen Core Approximation

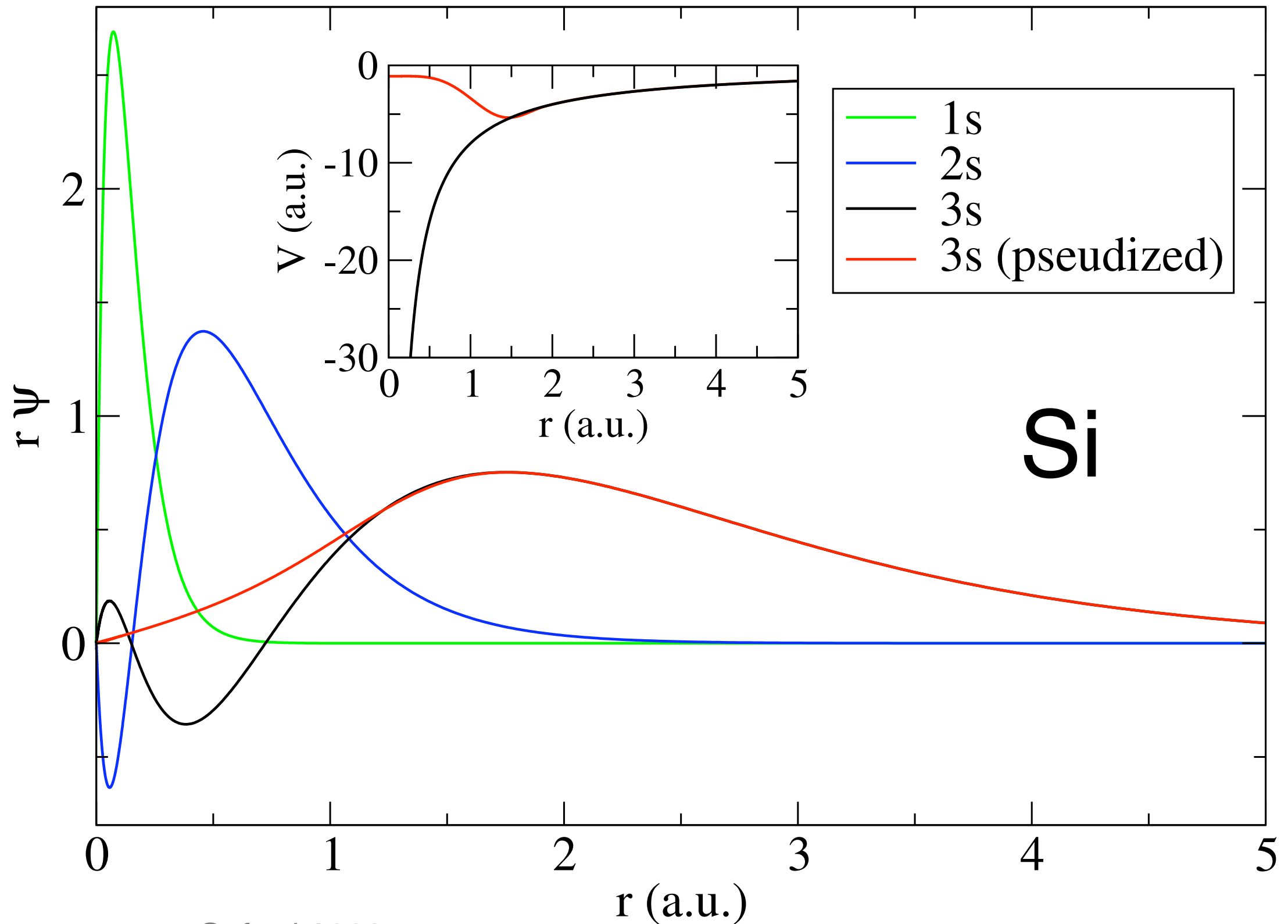
- “Core” electrons taken from free atom fixed during calculation

Pseudopotential Approximation

- Valence electrons experience weak effective potential in the core region

Note: Typically these two approximations are used together. But this does not have to be the case. CASTEP can employ ‘self-consistent’ pseudopotentials which allow the core states to ‘relax’ to their specific environment.

Pseudopotentials



GIPAW

Overcoming the previous approximations

Representation of Position Operator

- r is not a cell periodic function (won't discuss this further)
 $H^{(1)} = (r \cdot p) \cdot B$

Frozen Core Approximation

- Contribution of “core” electrons to shielding is not chemically sensitive
1s states in Carbon contribute ~200ppm in diamond, benzene, proteins
ie core states contribute to shielding - but not shift.

Pseudopotential Approximation

- Use PAW method to fix-up valence wavefunction in the core region

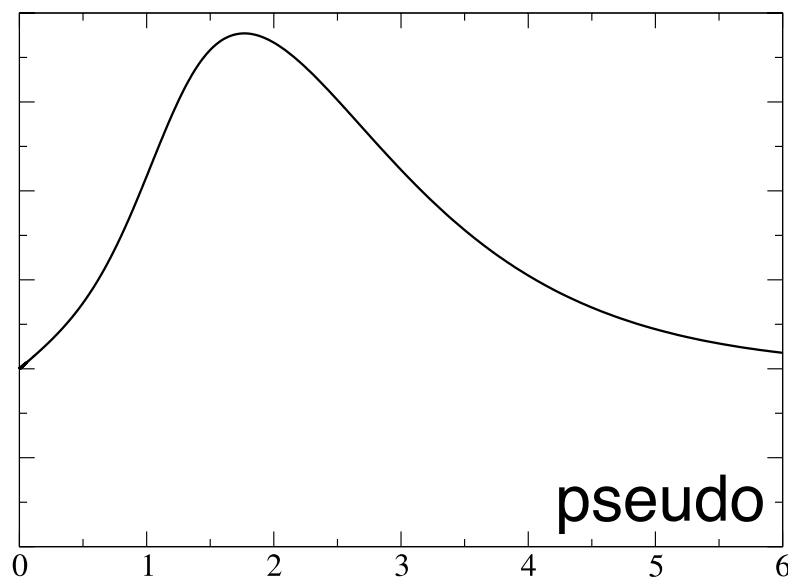
Projector Augmented Waves

$$|\Psi\rangle = \mathcal{T}|\tilde{\Psi}\rangle$$

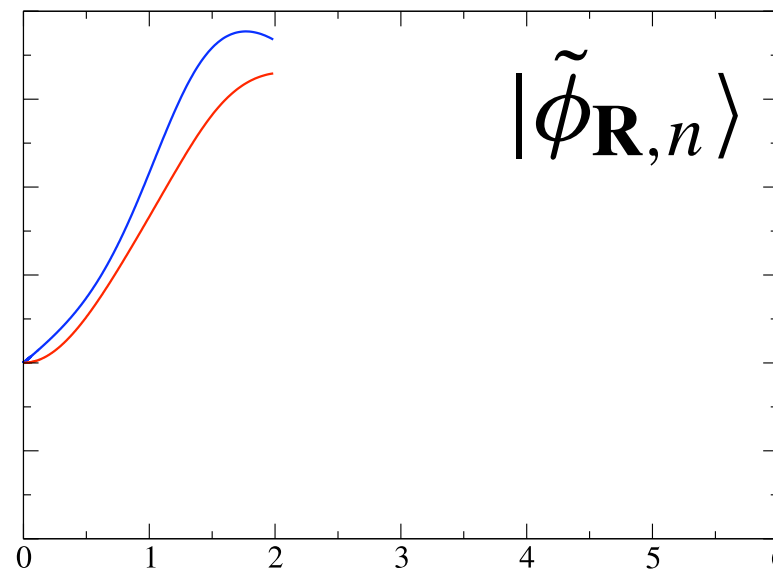
pseudo

all-electron

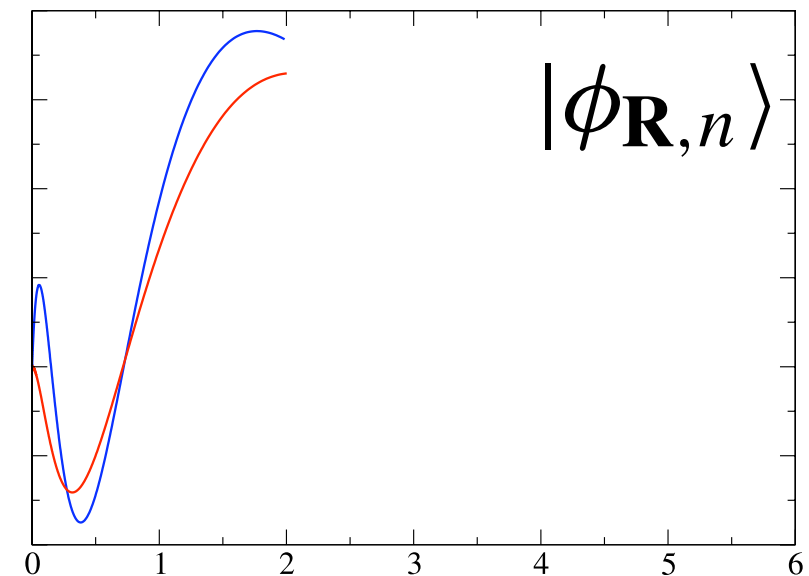
$$\mathcal{T} = \mathbf{1} + \sum_{\mathbf{R},n} [|\phi_{\mathbf{R},n}\rangle - |\tilde{\phi}_{\mathbf{R},n}\rangle] \langle \tilde{p}_{\mathbf{R},n}|$$



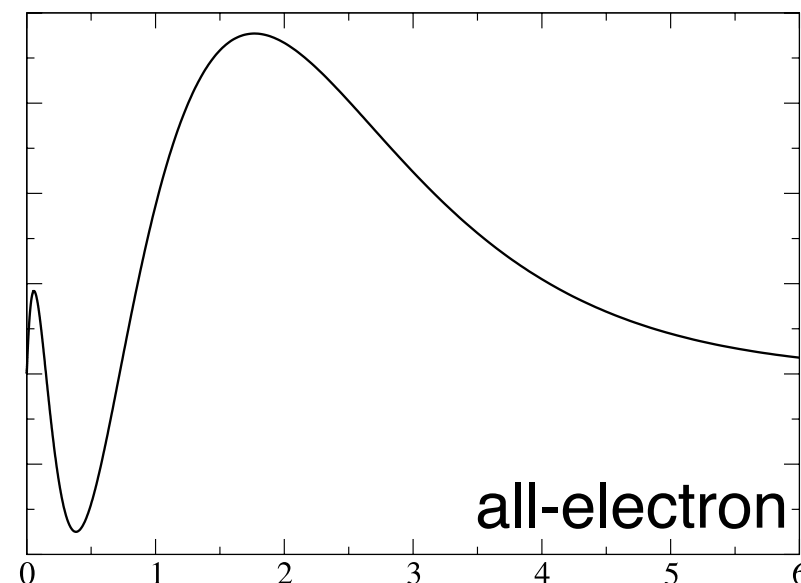
-



+



=



GIPAW

Gauge-Including Projector Augmented Waves
Modification of PAW by Pickard and Mauri for systems in an external magnetic field - plays a role similar to GIAO in quantum chemistry techniques

GIPAW

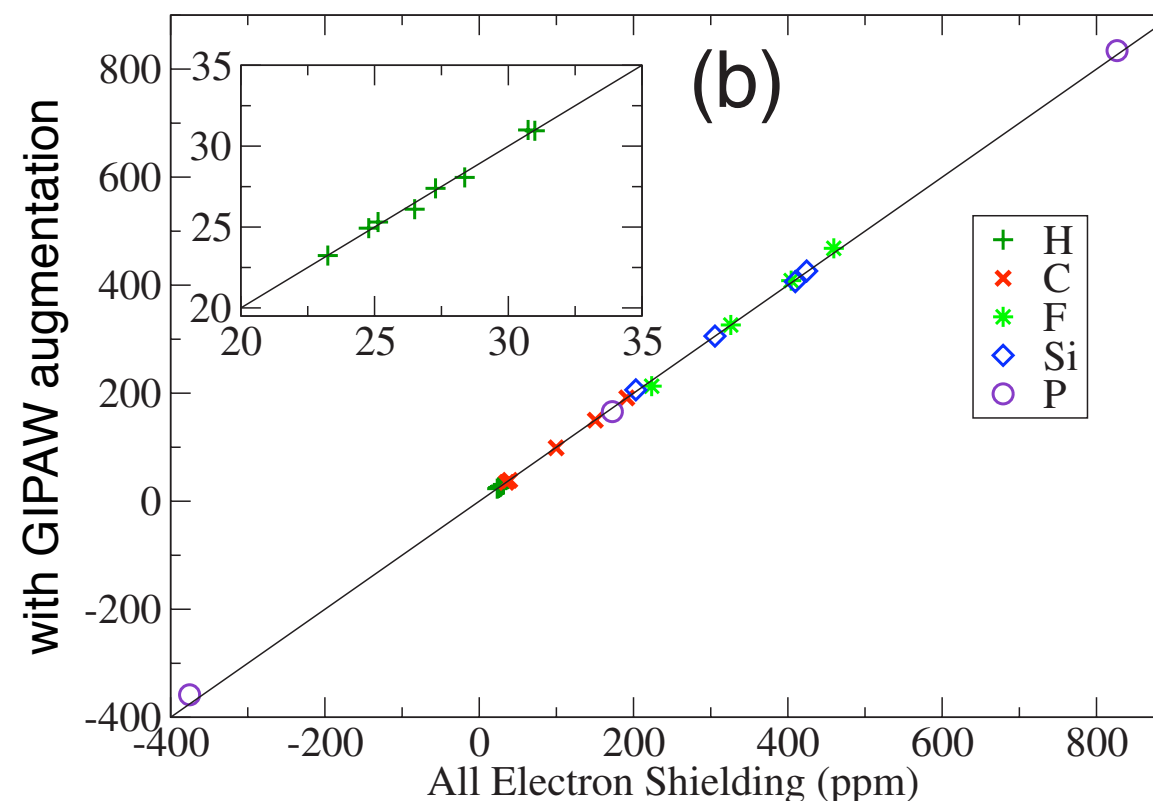
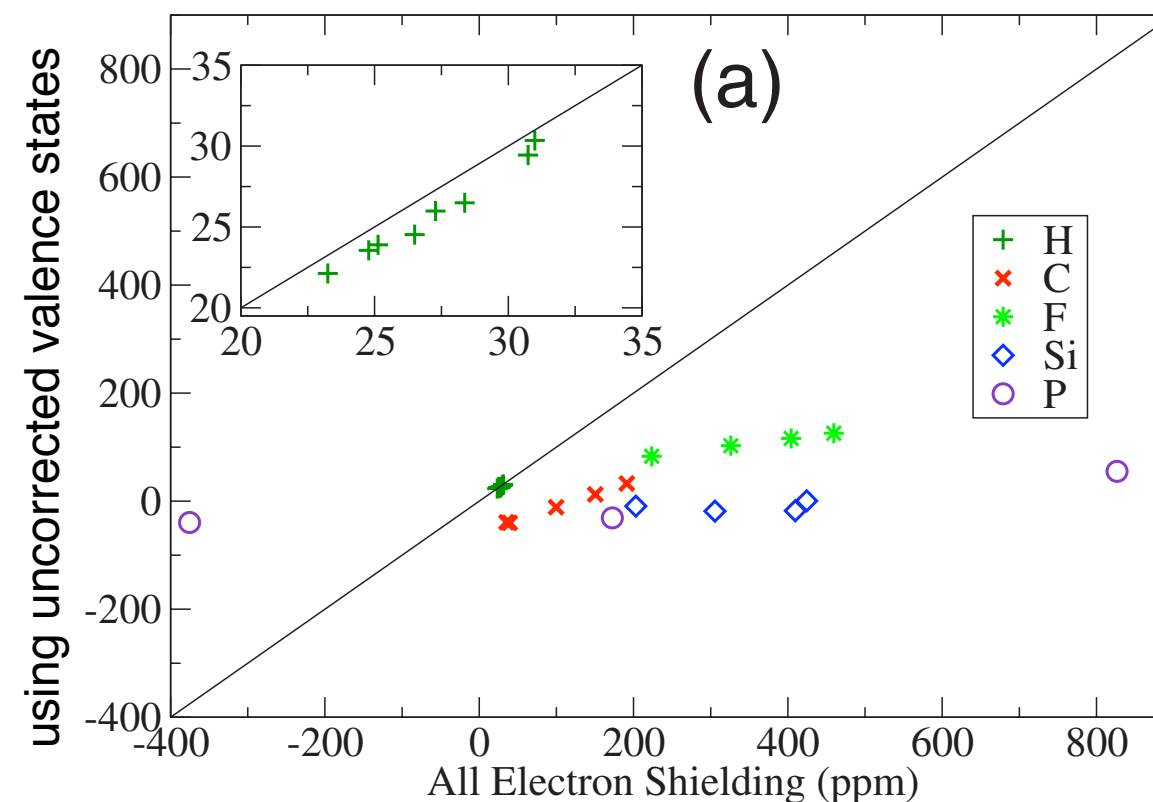
A theory for solid-state NMR

NMR - CASTEP code:

JRY, CJP, F. Mauri (Paris)

NMR-CASTEP vs Gaussian
test on small molecules
n.b. v. big Gaussian basis sets

JRY, C. Pickard, F. Mauri PRB 76, 024401 (2007)

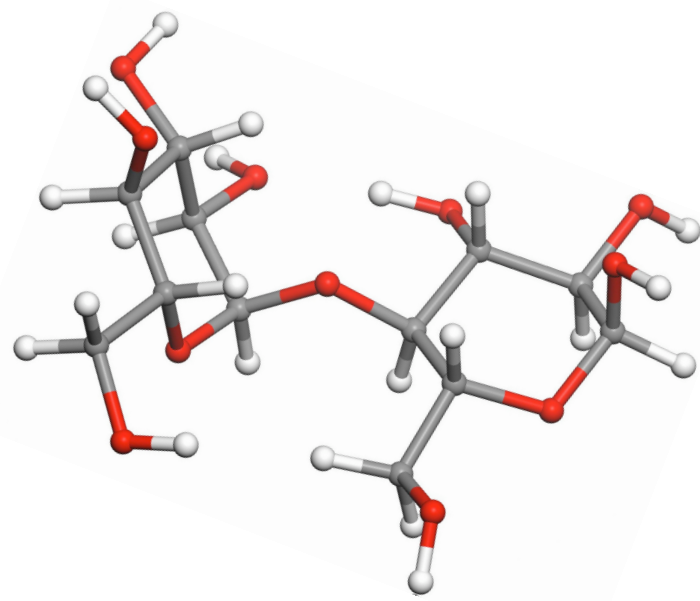


Solid-state effects

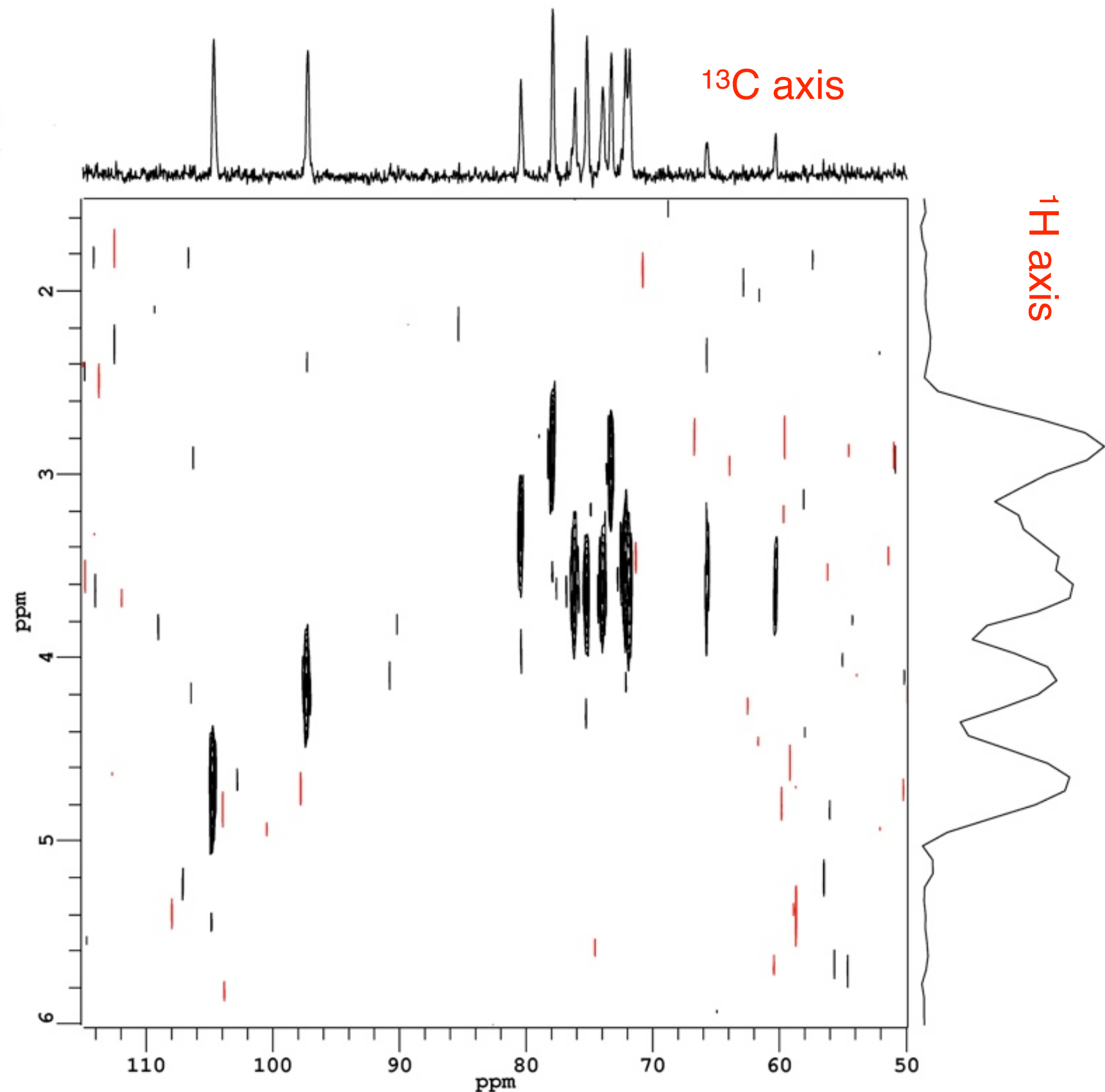
(Warwick)

Maltose

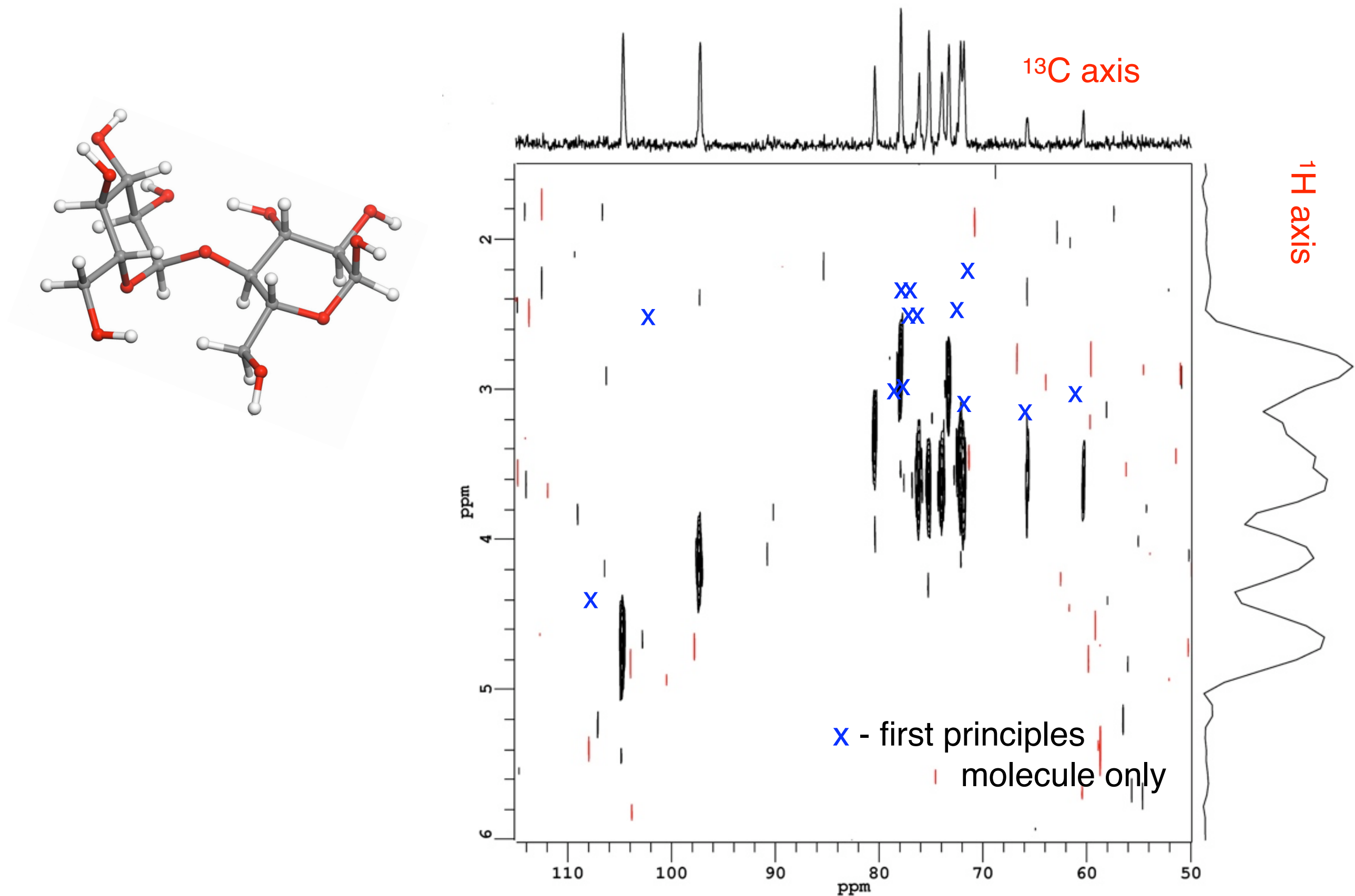
sugar used in brewing



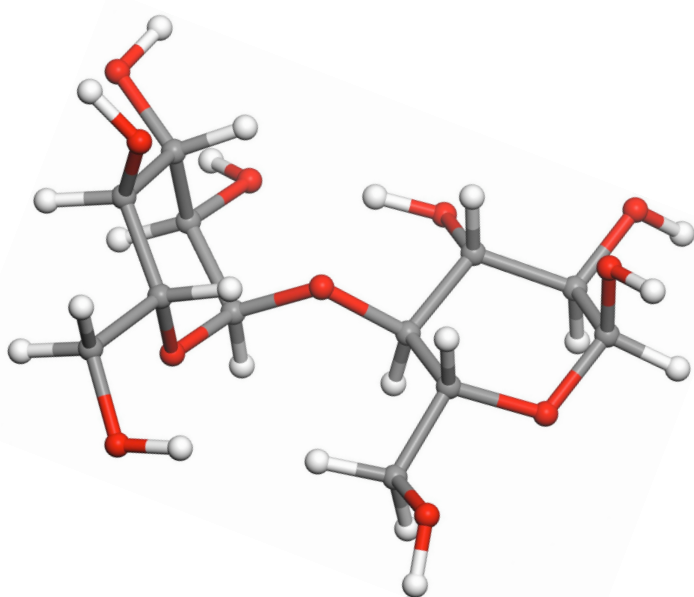
Cross-peaks when
J-coupling between
spins: -
C-H “bonds”



Solid-state effects

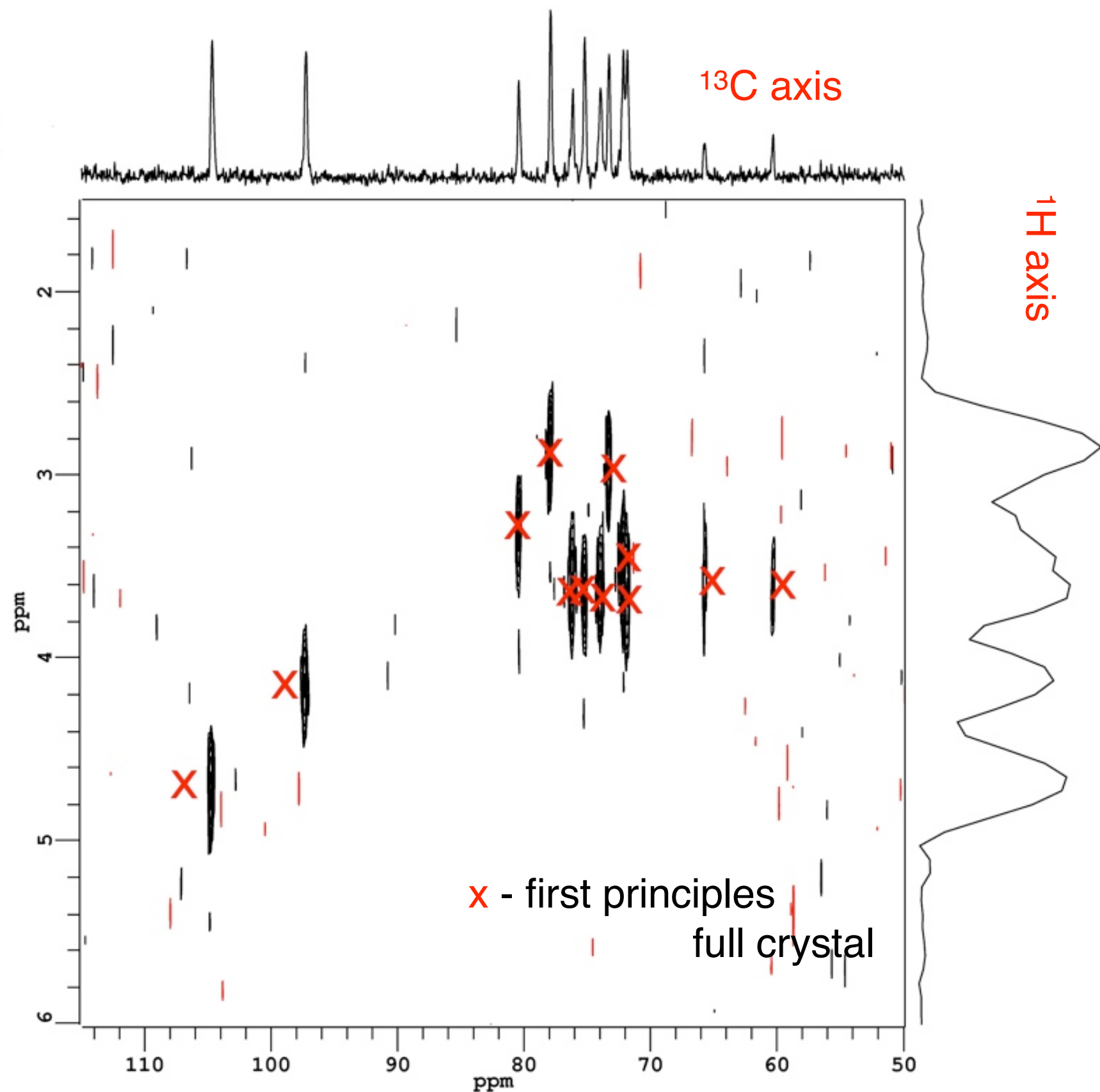


Solid-state effects



Molecule to solid
variation due to
intermolecular
interactions (weak
hydrogen bonds)

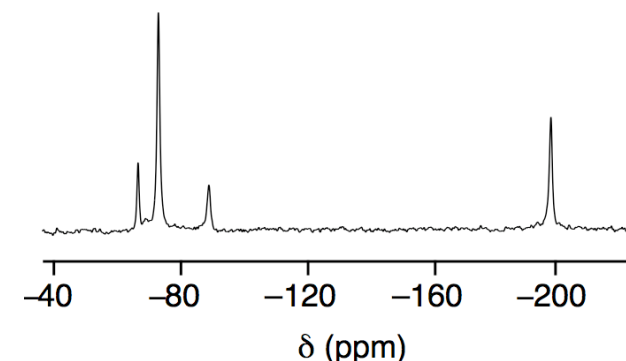
J. Am. Chem. Soc. 127 10216 (2005)
J. Am. Chem. Soc. 130 945 (2008)



NMR parameters

Chemical Shift

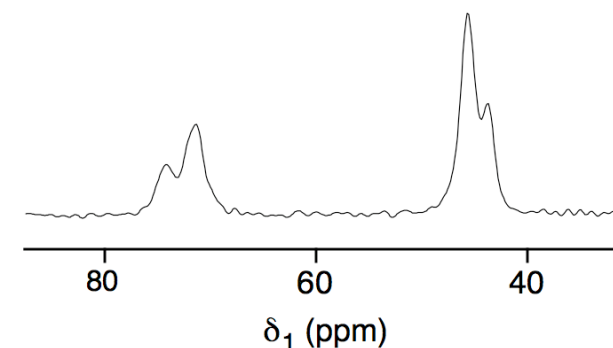
orbital currents



Quadrupolar coupling (EFG)

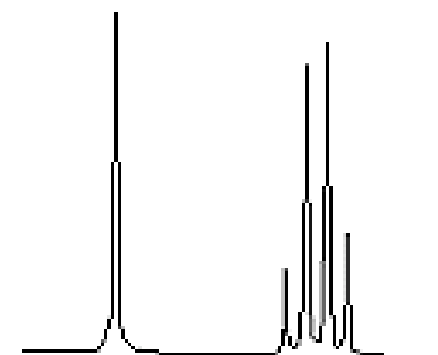
nuclei $I > 1/2$ interact with local electric field gradients

Function of charge density



spin-spin coupling (eg J-coupling)

splitting of resonance due to nucleus-nucleus interaction
hard to observe in solids....



Electric Field Gradient

*Function of the charge density - ie ground-state property.
Also computed by all-electron codes such as Wien2k, Crystal*

EFG

$$V_{\alpha\beta}(\mathbf{r}) = \int d^3r \frac{\overset{\text{charge density}}{n(\mathbf{r})}}{|\mathbf{r} - \mathbf{r}'|^3} \left[\delta_{\alpha\beta} - 3 \frac{(r_\alpha - r'_\alpha)(r_\beta - r'_\beta)}{|\mathbf{r} - \mathbf{r}'|^2} \right]$$

Eigenvalues

$$V_{xx}, V_{yy}, V_{zz} \quad |V_{zz}| > |V_{yy}| > |V_{xx}|$$

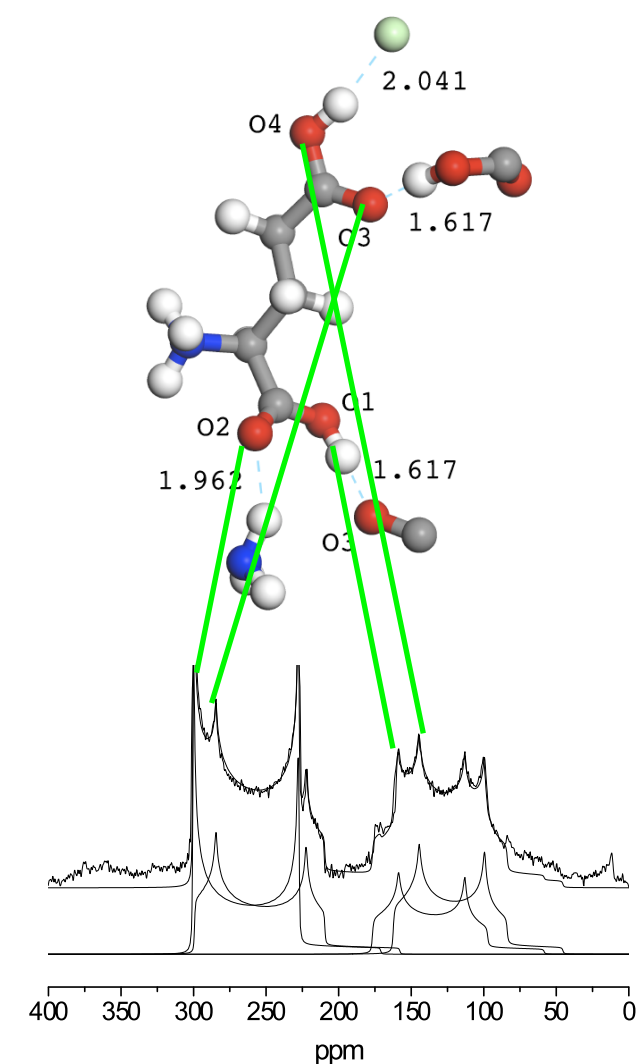
Quadrupolar Coupling

$$C_Q = \frac{eQV_{zz}}{h}$$

Asymmetry

$$\eta_Q = \frac{V_{xx} - V_{yy}}{V_{zz}}$$

Note: The quadrupolar moment, Q, is a nuclear property. CASTEP uses the most recent IUPAC values as defaults. But you can over-ride these (anyhow it is a simple scaling factor)



¹⁷O MAS Glutamic Acid . HCl

Calculations

*.param file

```
task      : mages  
mages_task : shielding  
          efg  
          nmr
```

chemical shift/shielding

electric field gradient

both

Must use on-the-fly pseudopotentials

Highly sensitive to geometry (optimise H X-ray positions)

CONVERGE

(basis cut-off & k-points)

*.castep File

=====					
Chemical Shielding Tensor					

Nucleus		Shielding tensor			
Species	Ion	Iso(ppm)	Aniso(ppm)	Asym	
H	1	23.81	5.27	0.40	
H	2	24.75	-3.35	0.85	
H	3	27.30	-5.79	0.90	
O	5	-43.73	504.95	0.47	
O	6	-63.53	620.75	0.53	
O	7	-43.73	504.95	0.47	
O	8	-63.53	620.75	0.53	
=====					

Anisotropy

$$\sigma_{\text{aniso}} = \sigma_{\text{zz}} - 1/2(\sigma_{\text{xx}} - \sigma_{\text{yy}})$$

Asymmetry

$$\eta = 3(\sigma_{\text{yy}} - \sigma_{\text{xx}})/2\sigma_{\text{aniso}}$$

*.magres File

=====

Atom: O 1

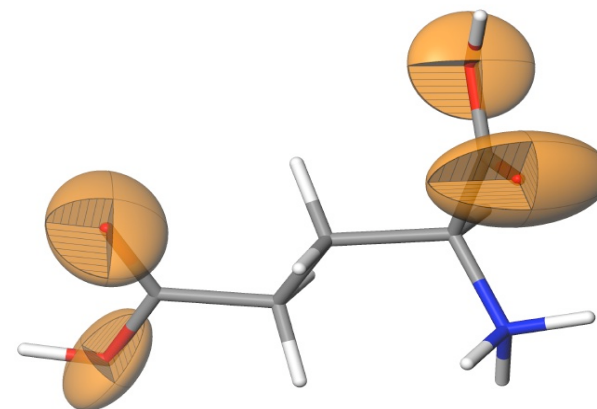
=====

O 1 Coordinates 1.641 1.522 5.785 A

TOTAL Shielding Tensor

218.1858	12.1357	-25.7690
13.4699	191.6972	-7.2419
-25.9178	-6.5205	216.3180

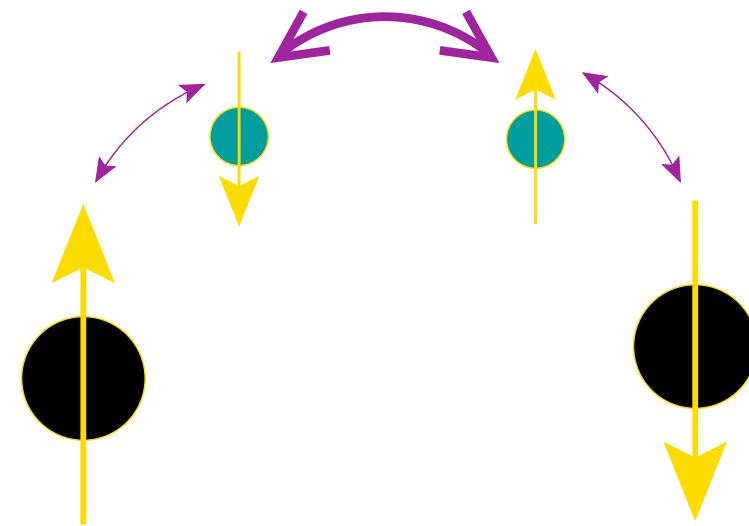
O	1	Eigenvalue	sigma_xx	185.6127	(ppm)	
O	1	Eigenvector	sigma_xx	0.5250	-0.8103	0.2603
O	1	Eigenvalue	sigma_yy	193.8979	(ppm)	
O	1	Eigenvector	sigma_yy	0.4702	0.5310	0.7049
O	1	Eigenvalue	sigma_zz	246.6904	(ppm)	
O	1	Eigenvector	sigma_zz	-0.7094	-0.2477	0.6598
O	1	Isotropic:		208.7337	(ppm)	
O	1	Anisotropy:		56.9351	(ppm)	
O	1	Asymmetry:		0.2183		



Note: shielding tensor has a symmetric and an antisymmetric component. Typical NMR experiments are only sensitive to the symmetric part. Therefore we only diagonalise the symmetric part of the shielding tensor

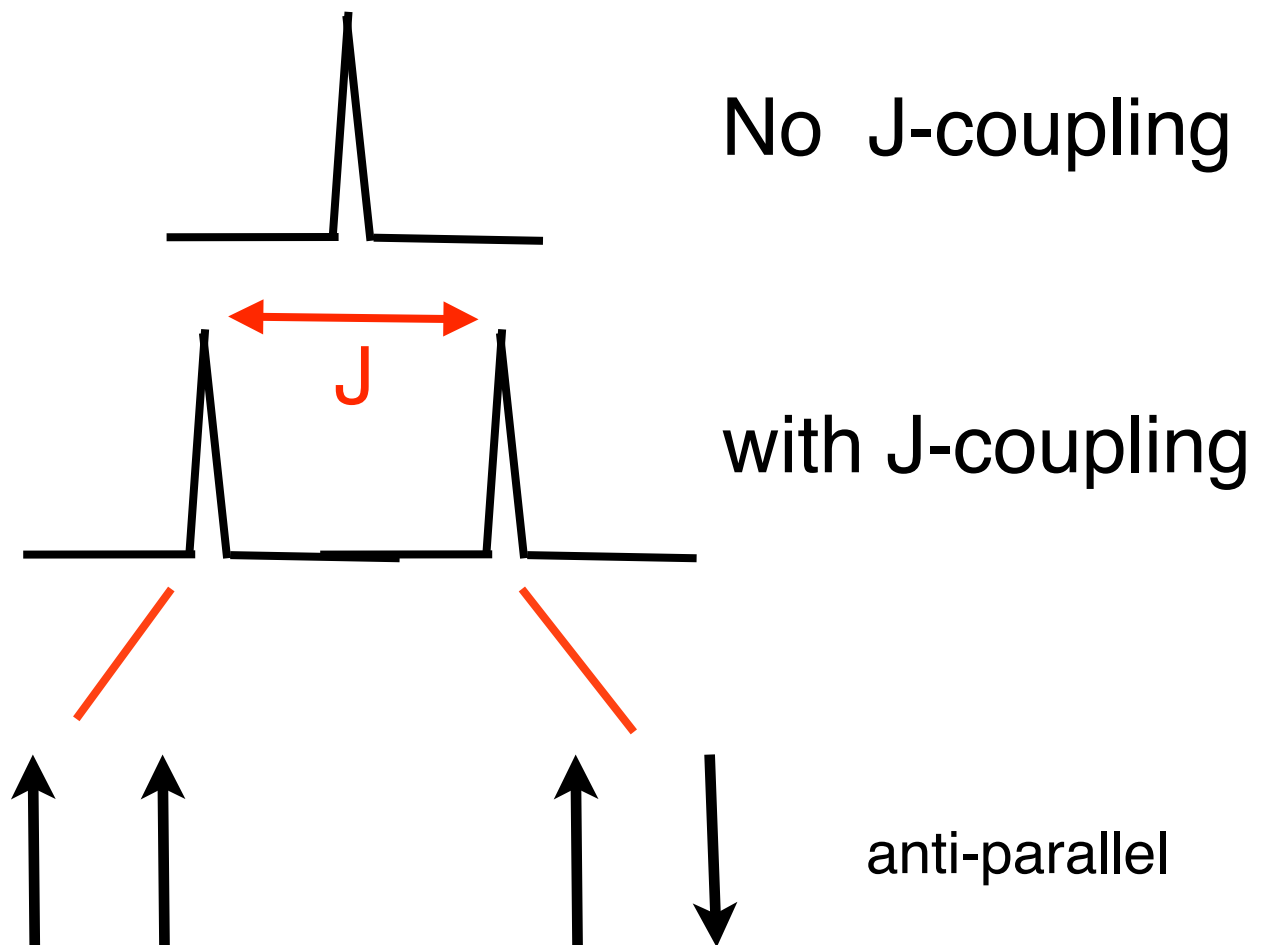
J-Coupling

Electron-mediated interaction
of nuclear spins



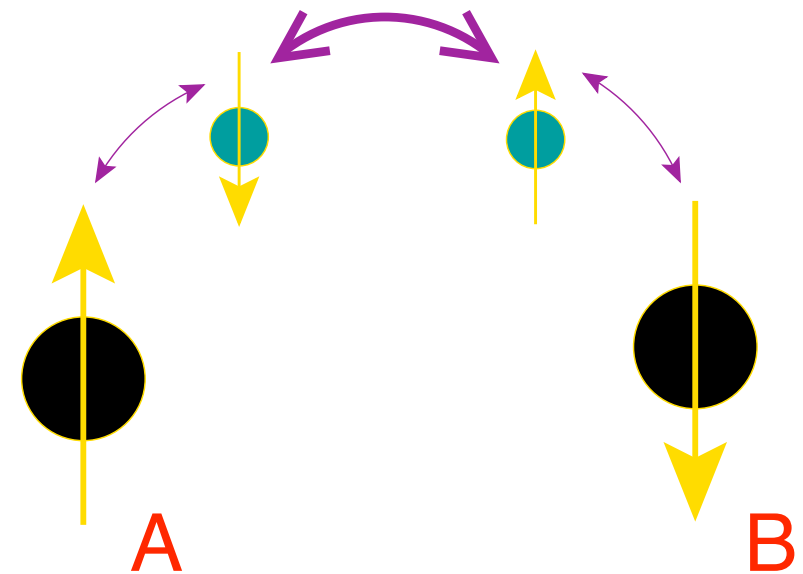
Solution-state NMR

J-coupling splits spectral
peaks $J \sim 1\text{-}100\text{ Hz}$



Electron's perspective: J-coupling

Nucleus A causes a local magnetic field



- The response of the electron's charge = current
- The response of the electron's spin = spin density

Both the current and the spin density cause a magnetic field at Nucleus B

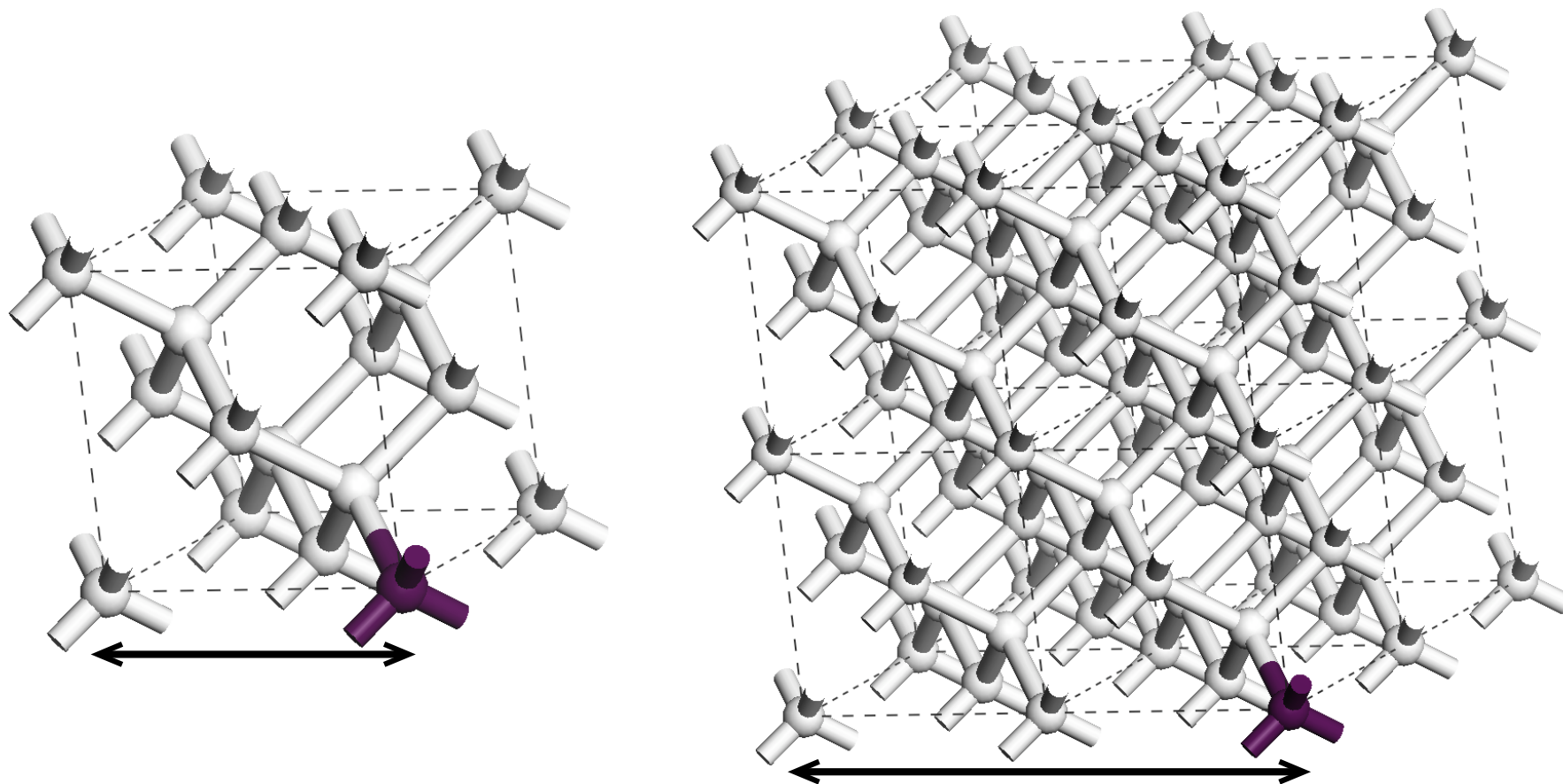
J. Chem. Phys. 127, 204107 (2007)

J-coupling

Calculations of the J-coupling are new to CASTEP. So new they're not in the released version! However, there is a tutorial with a pre-release version of the code - this will give you much more information

A single calculation give the coupling between one (perturbing) atoms and all others. Might need several calculations to get all of the couplings of interest.

Perturbing atom breaks periodicity - if the unit cell is small you might need to build a supercell to inhibit the interaction with periodic images



J-coupling - *.castep

Contributions to J-coupling

Spin: Fermi Contact (FC) Spin Dipolar (SD)

Charge: Paramagnetic (PARA) and Diamagnetic (DIA) - terms similar to shielding

note: *only total J is observable*

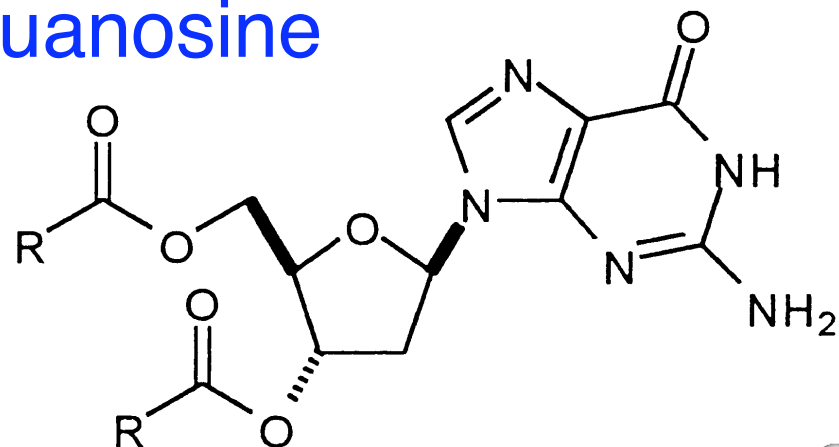
Isotropic J-coupling							
Nucleus			J-coupling values				
Species	Ion	FC	SD	PARA	DIA	TOT(Hz)	
0	1	25.37	0.31	-5.61	0.10	20.18	
Perturbing atom → ** Si	1	-3895.99	-53.73	-171.54	1.86	-4119.41	
Si	10	12.41	-0.04	0.41	0.01	12.79	
Si	16	17.97	0.07	0.43	0.01	18.48	
Si	30	14.40	0.23	0.39	0.01	15.03	

Bond		Length (A)	1st image	Iso(Hz)	Aniso(Hz)
Si 001	-- 0 001	1.61808	12.06908	20.18	57.86
Si 001	-- Si 038	3.02674	11.25096	12.90	3.09
Si 001	-- Si 010	3.03908	7.70290	12.79	3.47
Si 001	-- Si 016	3.09623	9.83675	18.48	6.54
Si 001	-- Si 030	3.17013	11.91281	15.03	8.39

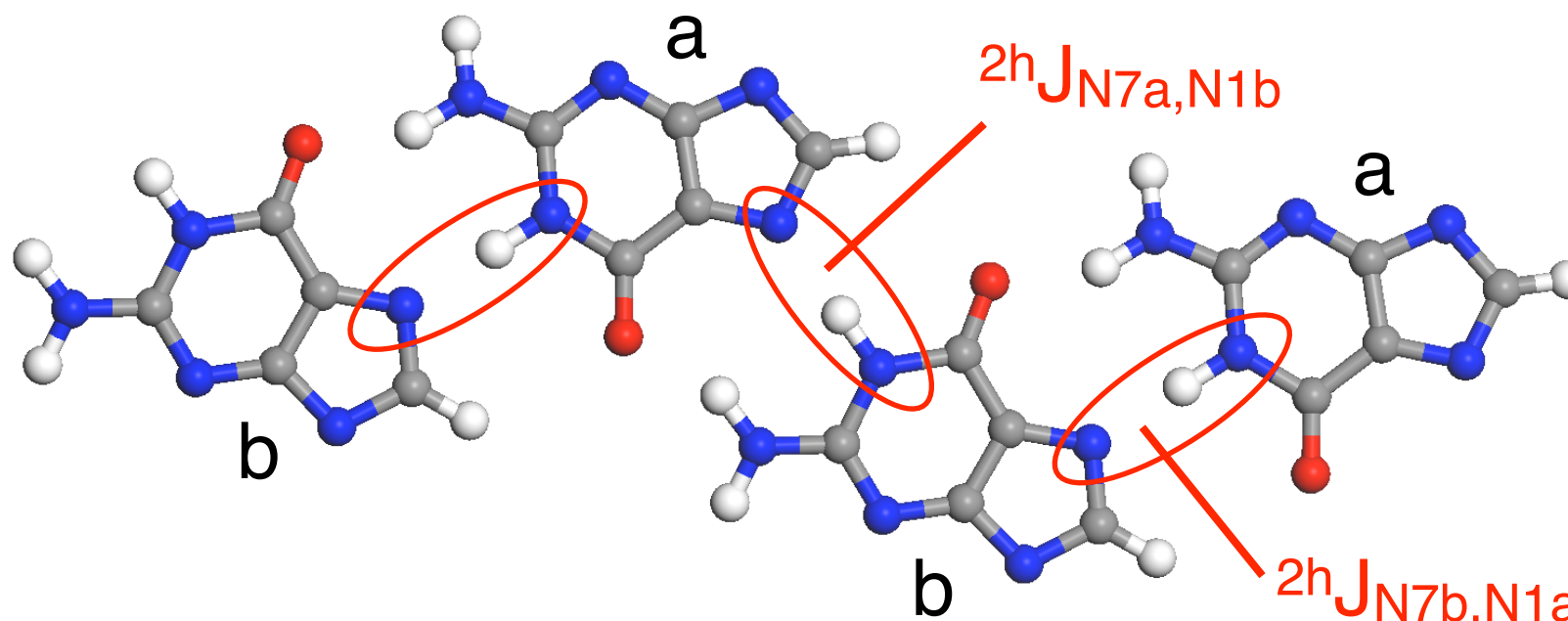
DNA bases

(Warwick)

Guanosine



self-assembles into ribbons
molecular electronics (FET)



$${}^2\text{h}J_{\text{N7b},\text{N1a}} = 6.2 \pm 0.4 \text{ Hz (expt)}$$
$$6.5 \text{ Hz (calc)}$$

$${}^2\text{h}J_{\text{N7a},\text{N1b}} = 7.4 \pm 0.4 \text{ Hz (expt)}$$
$$7.7 \text{ Hz (calc)}$$

J. Am. Chem. Soc. (2008) doi:10.1021/ja800419m

Predictions

$${}^2\text{h}J_{\text{O6a},\text{N2b}} = 5.7 \text{ Hz}$$

$${}^1J_{\text{O6a},\text{C1a}} = 22.0 \text{ Hz}$$

Getting more information

NMR Books

Good Introduction

Nuclear Magnetic Resonance (Oxford Chemistry Primers)

P. J. Hore

More advanced

Spin Dynamics: Basics of Nuclear Magnetic Resonance

Malcolm H. Levitt

Introduction to solid-state NMR

Introduction to Solid-State NMR Spectroscopy (Paperback)

Melinda Duer

Useful survey of applications

Multinuclear Solid-State Nuclear Magnetic Resonance of Inorganic Materials

Kenneth J.D. MacKenzie, M.E. Smith

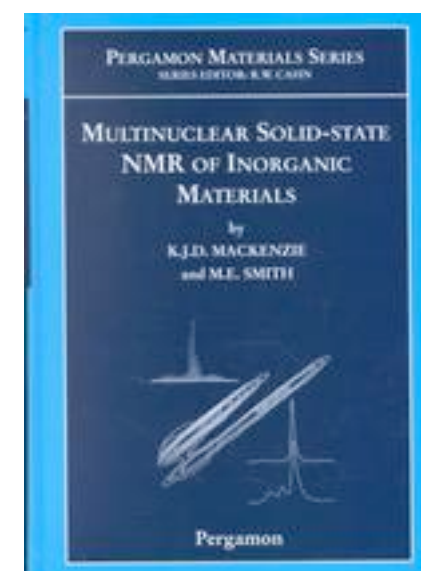
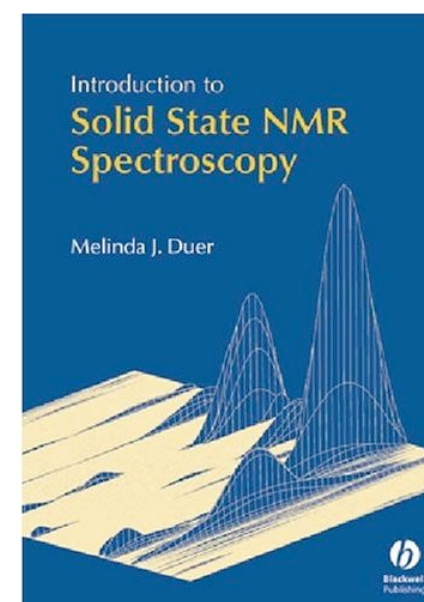
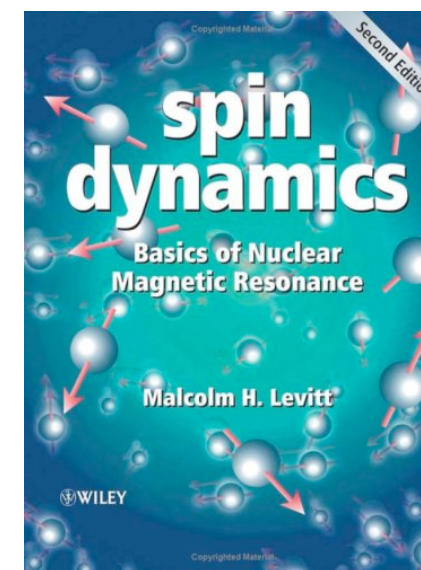
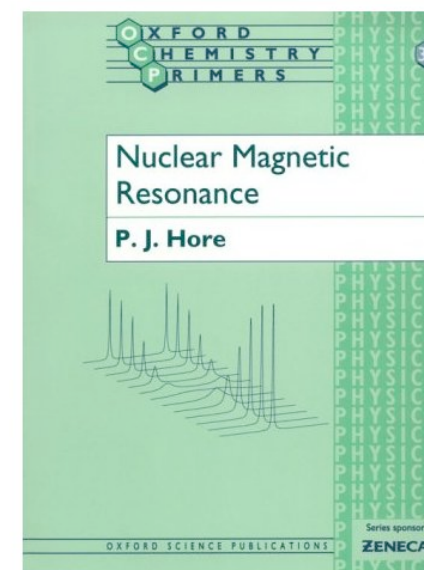
Recent Review Articles

Recent advances in solid-state NMR spectroscopy of spin $I = 1/2$ nuclei

Anne Lesage, *Phys. Chem. Chem. Phys.*, 2009, **11**, 6876

Recent advances in solid-state NMR spectroscopy of quadrupolar nuclei

Sharon E. Ashbrook, *Phys. Chem. Chem. Phys.*, 2009, **11**, 6892



Getting more information

GIPAW Theory

A more in depth introduction to the theory (email JRY for a copy)

Computations of Magnetic Resonance Parameters for Crystalline Systems: Principles

Jonathan R. Yates, Chris J. Pickard

Encyclopedia of Magnetic Resonance (2008) [doi:10.1002/9780470034590.emrstm1009](https://doi.org/10.1002/9780470034590.emrstm1009)

Applications to molecular crystals

Computations of Magnetic Resonance Parameters for Molecular Crystalline Systems: Practise

Robin K. Harris, Paul Hodgkinson, Chris J. Pickard, Jonathan R. Yates, Vadim Zorin,

Encyclopedia of Magnetic Resonance (2008)

Original Theory Papers:

All-electron magnetic response with pseudopotentials: NMR chemical shifts,

Chris J. Pickard, and Francesco Mauri.

Phys. Rev. B, 63, 245101 (2001)

Calculation of NMR Chemical Shifts for extended systems using Ultrasoft Pseudopotentials

Jonathan R. Yates, Chris J. Pickard, and Francesco Mauri.

Physical Review B 76, 024401 (2007)

A First Principles Theory of Nuclear Magnetic Resonance J-Coupling in solid-state systems

Sian A. Joyce, Jonathan R. Yates, Chris J. Pickard, Francesco Mauri

J. Chem. Phys. 127, 204107 (2007)

www.gipaw.net