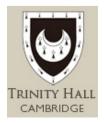
# Creating novel quantum phases by artificial magnetic fields

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# Theory of Condensed Matter Group

# ICM









Cavendish Laboratory

- A brief introduction to the quantum Hall effect
- Topological aspects of Landau levels and how to simulate magnetic fields
- Adiabatic connection of fractionalized phases in topologically non-trivial Chern bands and FQH states
- Novel types of quantum liquids bands Chern-# C > 1
  - composite fermion approach for bosons in flux lattices
  - If flat band projection in the Hofstadter butterfly



# Quantum Hall Effect: Phenomenology

a macroscopic quantum phenomenon observed in magnetoresistance measurements



 in semiconductor heterostructures with clean twodimensional electron gases

▶ at low temperatures (~0.1K) and in strong magnetic fields

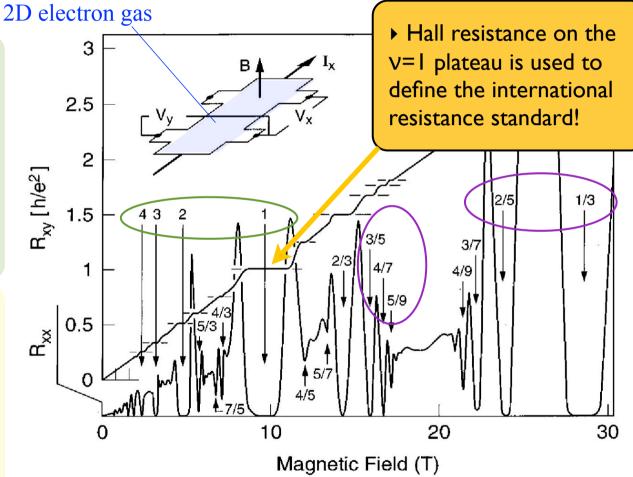
 $k_BT \ll \hbar\omega_c = \hbar eB/m_e$ 

What?

plateaus in Hall conductance

 $\sigma_{xy} = \nu \frac{e^2}{h}$ 

 simultaneously: (near) zero longitudinal resistance



Quantum number V, observed to take integer or simple fractional values



 $\bullet$  single-particle eigenstates (=bands) in a homogeneous magnetic field: degenerate Landau levels with spacing  $\hbar\omega_c$ 

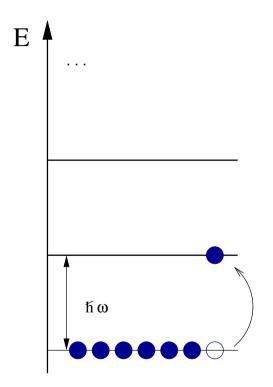
 $\omega_c = eB/m_e~~{
m cyclotron~frequency}$ 

- degeneracy per surface area:  $d_{LL} = eB/h$
- ullet fill a number of bands = integer filling factor  $\ 
  u = n/d_{LL}$

 $\Rightarrow$  large gap  $\Delta$  for single particle excitations: naively, we should have a band insulator

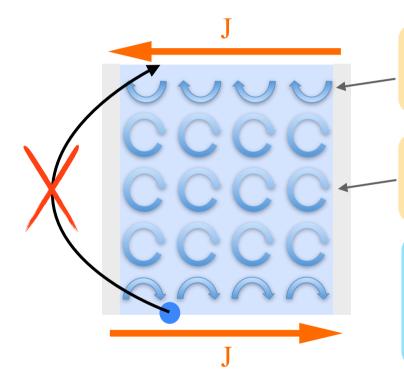
There must be something special about Landau-levels!

$$\mathcal{H} = \frac{(\vec{p} + e\vec{A})^2}{2m}$$
$$\vec{A} = Bx\vec{e_u}$$





#### Semiclassical picture: skipping orbits

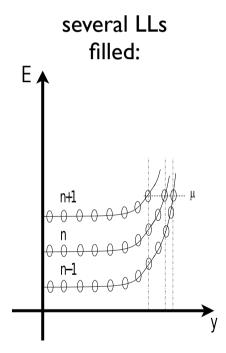


at edge of sample, 'skipping orbits' contribute a uni-directional current

cyclotron motion produces no net current in bulk of sample

picture for quantum transport: absence of backscattering ⇒ dissipationless current

 $\Rightarrow$  no voltage drop along lead!



low-energy or 'gapless' excitations present near boundary

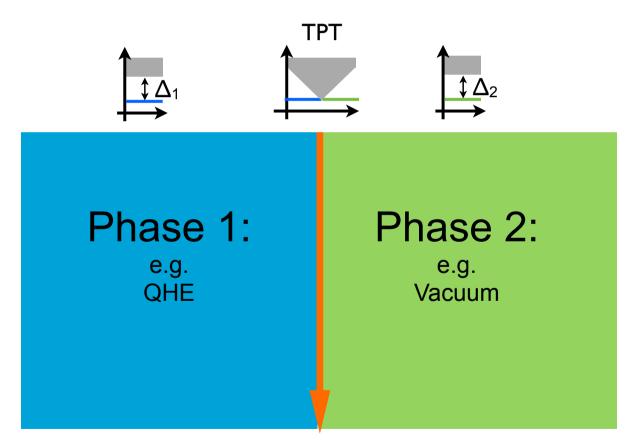


 $\sigma_{xy} = \nu \frac{e^2}{h}$ 

#### Edge States & Topological Order

Quantum Hall plateaus have a property called *topological order* 

Edge states must occur where the topological order changes in space (gap must close)

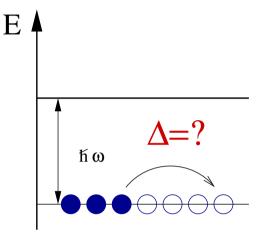


Can formulate topological invariants to characterise topological order: will see example, later

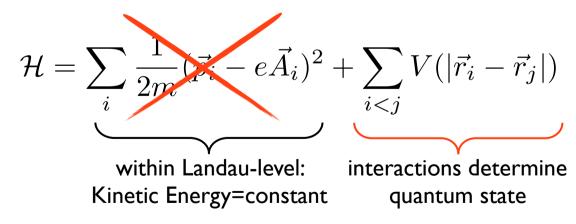


# Fractional Quantum Hall Effect (FQHE)

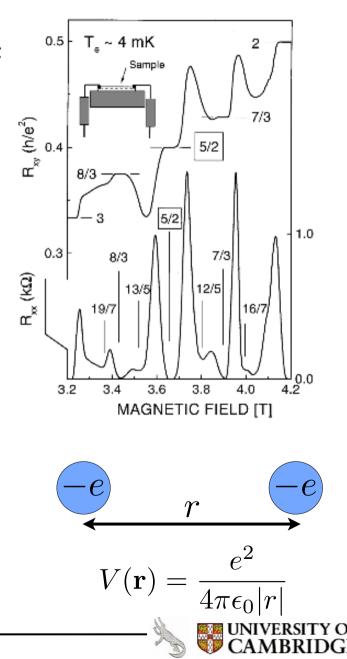
- plateaus seen also for non-integer v
- not filled bands but similar phenomenology as integer filling:



nature of interactions determines how the system behaves:



⇒ FQHE is an inherently many-body phenomenon
▶ each Hall plateau represents a kind of topological order

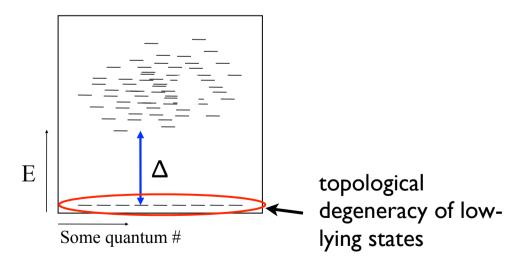


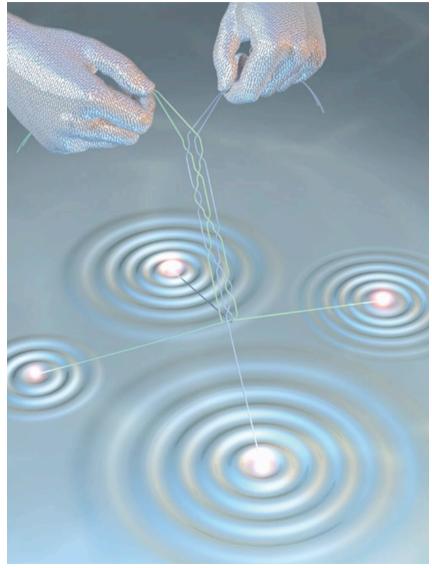
# Why is the fractional quantum Hall effect important?

source of very unusual physics, for example:quasi-particles with fractional electronic charge

$$e.g., q = e/3$$

 manipulations of quasiparticles could provide the basis for a quantum computer that is protected from errors!

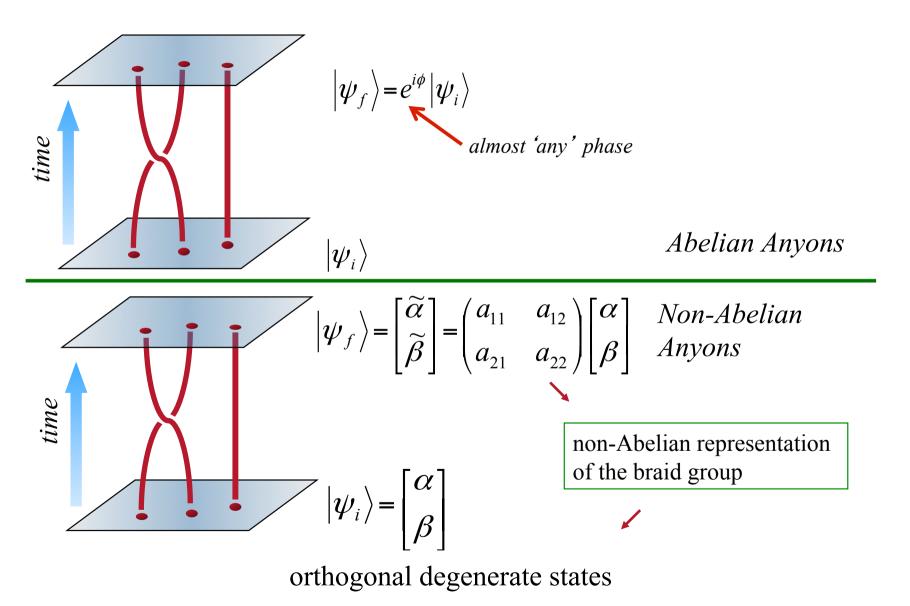




• quantum operations by braiding quasiparticles

Y OF

#### Fractional statistics - Anyons and Non-Abelions





# Quantum Hall effect without magnetic fields

The fractional quantum Hall effect is observed under extreme conditions

- strong magnetic fields of several Tesla
- very low temperatures
- clean / high mobility semiconductor samples

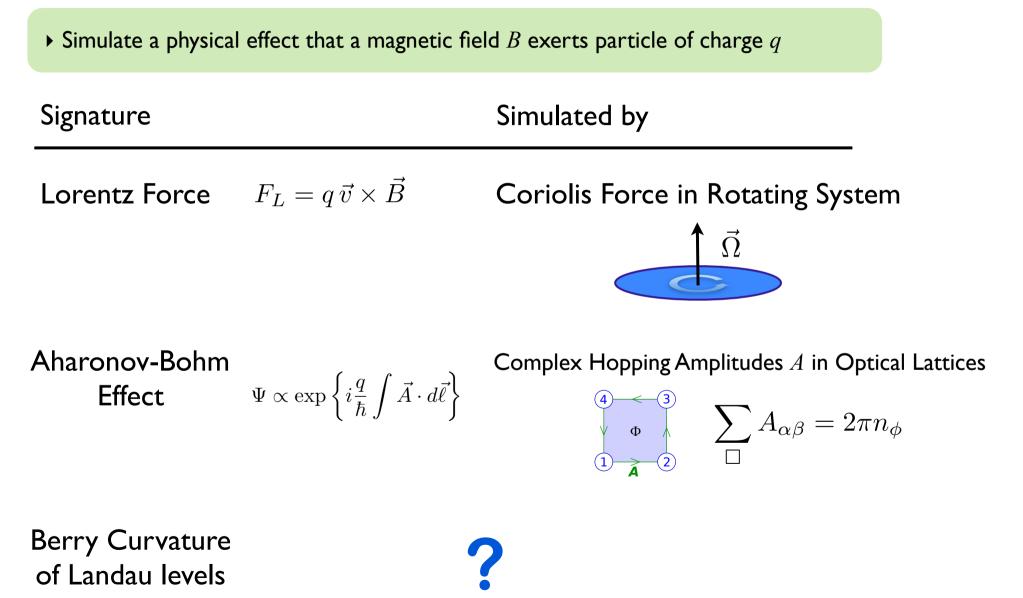
Opportunities for creating novel types of quantum Hall systems

#### I. Cold Atomic Gases

- both bosons and fermions
- highly tuneable: density, interactions, tunnelling strengths, (effective) mass, ...
- different types of experimental probes: local density, velocity distribution, correlations
- 2. Novel classes of materials
  - strained graphene
  - materials with strong spin orbit coupling, such as topological insulators



# Strategies for simulating magnetic fields

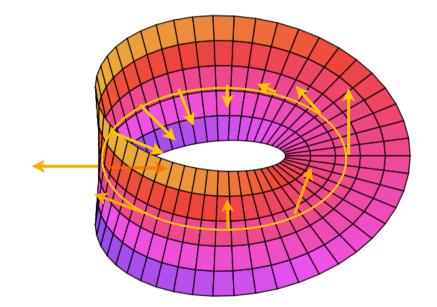




# Landau-levels as a topological band-structure

• Can we see in which way Landau-levels are special, just by looking at the wavefunctions?

Start with an analogy:



Recipe for calculating the twist in this Möbius band:

choose a closed path around the surface

 construct normal vector to the surface at points along the curve

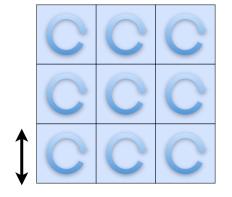
• add up the twist angle while moving along this contour



# Calculating the 'twist' in wave functions I

I. How to think of the surface / manifold on which Landau level wavefunctions  $\varphi$  live?

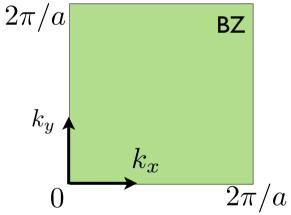
choose to make wavefunctions periodic (by superposition):



 $\varphi(\vec{r} + \vec{a}) \propto \varphi(\vec{r})$ 

periodic repetitions of a unit cell (UC)

'crystal momentum' k parametrizes states in Brillouin zone (BZ)



 $\varphi_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r})e^{i\vec{k}\vec{r}}$  (Bloch's theorem)

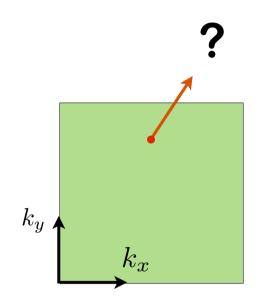
notation for strictly periodic part

$$u_{\vec{k}}(\vec{r}+\vec{a}) = u_{\vec{k}}(\vec{r})$$



# Calculating the 'twist' in wave functions II

2. What is the equivalent of the normal vector?



The wavefunction itself is a vector!

lives in a function space with a norm = Hilbert space

$$\varphi_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r})e^{i\vec{k}\vec{r}}$$

having chosen a point k, only u provides non-trivial information and use the ket representation

 $|u_{\vec{k}}\rangle$ 

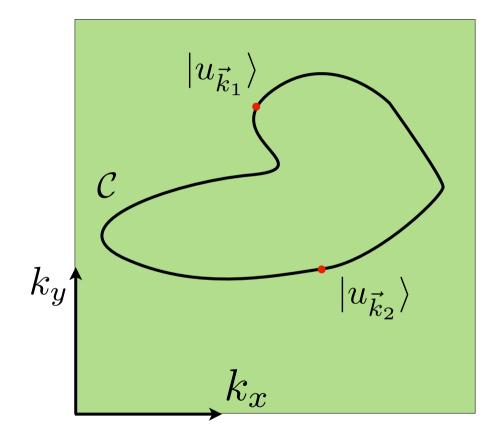
*u*'s are eigenstates of a transformed Hamiltonian:

$$\tilde{\mathcal{H}} = e^{-i\vec{k}\vec{r}}\mathcal{H}e^{i\vec{k}\vec{r}} \quad \Rightarrow \quad \tilde{\mathcal{H}}|u_{\vec{k}}\rangle = \epsilon_k|u_{\vec{k}}\rangle$$



# Calculating the 'twist' in wave functions III

3. What is the equivalent of the twist angle?



direction of vector fixed at any point ...

 $|u_{\vec{k}}\rangle$ 

... up to an overall phase

 $e^{i\gamma}|u_{\vec{k}}\rangle$ 

- $\Rightarrow$  need to keep track of the phase!
- use scalar product to compare vectors



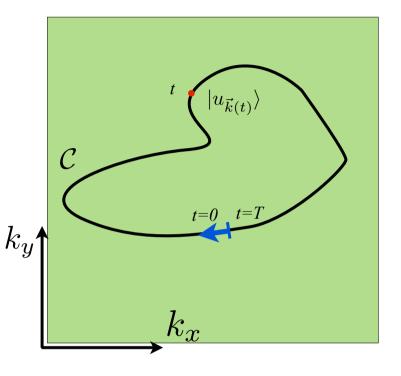
# Calculating the Berry phase

Michael Berry (1984)

Calculate how wavefunction evolves while moving adiabatically through curve  $C : \mathbf{k}(t), t=0...T$ 

Phase evolution has two components:

$$|U(t)\rangle = \exp\left\{-\frac{i}{\hbar}\int_{0}^{t}\epsilon_{\vec{k}(t')}dt'\right\}\exp\left\{i\gamma(t)\right\}|u_{\vec{k}(t)}\rangle$$
dynamical time
evolution
'twist'





# Calculating the Berry phase

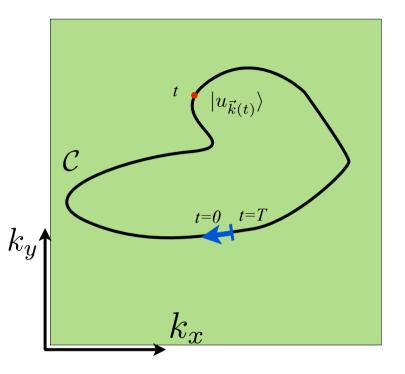
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Substitute into Schrödinger equation:



$$\begin{split} \tilde{\mathcal{H}}|U(t)\rangle &= i\hbar\frac{d}{dt}|U(t)\rangle\\ \begin{array}{c} \mathsf{take} \\ \int_{0}^{T}dt\langle U(t)| & \epsilon_{\vec{k}(t)}|U(t)\rangle &= i\hbar\left(-\frac{i}{\hbar}\epsilon_{\vec{k}(t)} + i\frac{d}{dt}\gamma(t) + \frac{d\vec{k}}{dt}\frac{d}{d\vec{k}}\right)|U(t)\rangle\\ \\ \hline \\ \mathbf{Berry\ phase:} \qquad \gamma(\mathcal{C}) &= i\int_{\mathcal{C}}\langle u_{\vec{k}}|\frac{d}{d\vec{k}}|u_{\vec{k}}\rangle d\vec{k} \qquad \text{purely geometrical!} \end{split}$$

Geometrical phase analogous to Aharonov-Bohm effect

$$\gamma(\mathcal{C}) = i \int_{\mathcal{C}} \langle u_{\vec{k}} | \frac{d}{d\vec{k}} | u_{\vec{k}} \rangle d\vec{k} \equiv \int_{\mathcal{C}} \vec{\mathcal{A}}(\vec{k}) d\vec{k}$$

Effective 'vector potential' called Berry connection

$$\vec{\mathcal{A}}(\vec{k}) = i \int_{\mathrm{UC}} u_{\vec{k}}(\vec{r})^* \vec{\nabla}_k u_{\vec{k}}(\vec{r}) d^2 r$$

 $\vec{\mathcal{B}} = \vec{\nabla}_k \times \vec{\mathcal{A}}(\vec{k})$ 

Using Stokes' theorem:

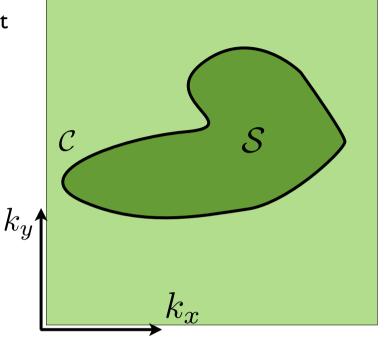
$$\gamma(\mathcal{C}) = \int_{\mathcal{C}} \vec{\mathcal{A}}(\vec{k}) d\vec{k} = \int_{\mathcal{S}} \vec{\nabla}_k \times \vec{\mathcal{A}}(\vec{k}) d\vec{\sigma}$$
$$_{\mathcal{C} = \partial \mathcal{S}}$$

Berry curvature:

is a property of the band eigenfunctions, only!

Chern number: 
$$C=rac{1}{2\pi}\int_{BZ}d^2{f k}\,{\cal B}({f k})$$
 takes only integer values!



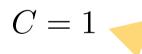


# **Evaluating: Berry curvature for Landau-Levels**

Choosing the Landau-gauge, i.e. vector potential  $\vec{A} = Bx\vec{e_y}$ 

$$2\pi\ell_0^2 = \frac{h}{eB} \quad \text{spatial extent of one LL state}$$
  
Berry connection  $\vec{\mathcal{A}}(\vec{k}) = -k_y \ell_0^2 \vec{e}_x \quad \text{(this is gauge dependent)}$   
Berry curvature:  $\vec{\mathcal{B}} = \ell_0^2 \vec{e}_z \quad \text{constant curvature - reflects constant magnetic field}$ 

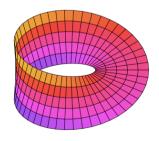
Chern number:



↓ Directly related to quantised Hall conductance:

$$\sigma_{xy} = \frac{e^2}{h}C$$

Like twist in Möbius strip: Chern number does not vary under small perturbations: 'Topological invariant'





# Emulating the effect of magnetic fields

Non-zero Berry curvature is not related specifically to magnetic fields only:

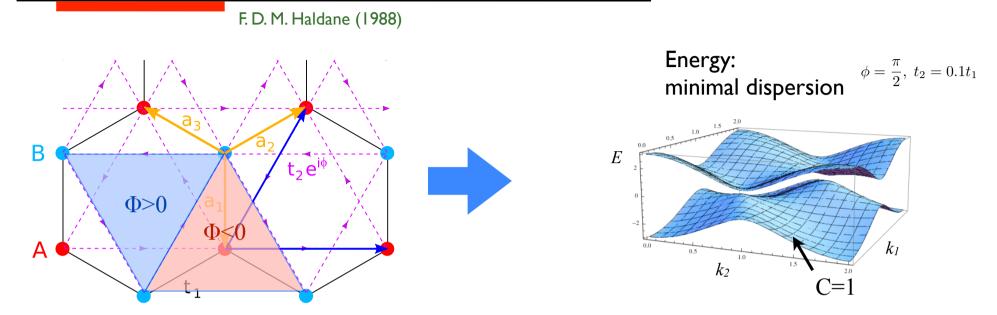
 $\vec{\mathcal{A}}(\vec{k}) = i \int_{\mathrm{UC}} u_{\vec{k}}(\vec{r})^* \vec{\nabla}_k u_{\vec{k}}(\vec{r}) d^2 r$ spatial dependency = physical implementation integrated out!

• Other systems with Chern number C=1 can give rise to a quantized Hall effect

F. D. M. Haldane (1988)



#### Haldane's Model



$$\mathcal{H} = -t_1 \sum_{\langle \mathbf{rr'} \rangle} \left( \hat{a}_{\mathbf{r}}^{\dagger} \hat{a}_{\mathbf{r}} + h.c. \right) - t_2 \sum_{\langle \langle \mathbf{rr'} \rangle \rangle} \left( \hat{a}_{\mathbf{r}}^{\dagger} \hat{a}_{\mathbf{r}} e^{i\phi_{\mathbf{rr'}}} + h.c. \right)$$

Realization of such models might be possible thanks to spin-orbit coupling

Kane (2005)

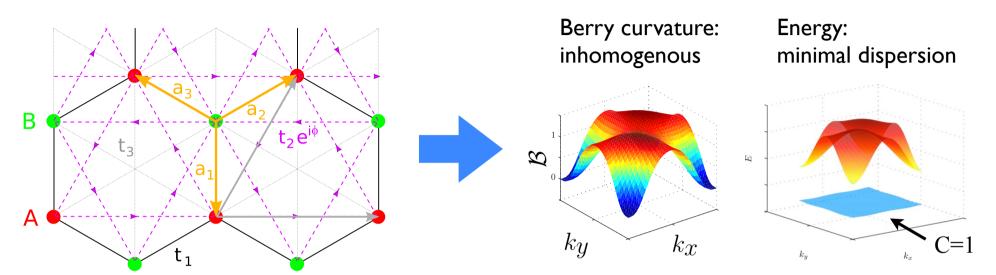
• Proposal: for *flat* bands with  $|C| \ge 0$ , there may even be a fractional quantum Hall effect!

Tang et al. + Neupert et al. + Sun et al. in Phys. Rev. Lett. (2011)



# Haldane's Model for strong correlations

F. D. M. Haldane (1988)



$$\mathcal{H} = -t_1 \sum_{\langle \mathbf{rr'} \rangle} \left( \hat{a}_{\mathbf{r}}^{\dagger} \hat{a}_{\mathbf{r}} + h.c. \right) - t_2 \sum_{\langle \langle \mathbf{rr'} \rangle \rangle} \left( \hat{a}_{\mathbf{r}}^{\dagger} \hat{a}_{\mathbf{r}} e^{i\phi_{\mathbf{rr'}}} + h.c. \right) - t_3 \sum_{\langle \langle \langle \mathbf{rr'} \rangle \rangle \rangle} \left( \hat{a}_{\mathbf{r}}^{\dagger} \hat{a}_{\mathbf{r}} + h.c. \right)$$

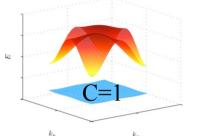
- tight binding model on hexagonal lattice
- no average magnetic flux (but time-reversal symmetry is broken)
- with fine-tuned hopping parameters: obtain flat lower band

$$t_1 = 1, t_2 = 0.60, t_3 = -0.58$$
 and  $\phi = 0.4\pi$ 



# Q: Do flat Chern bands yield FQHE physics?

Q: Particles in a nearly flat band with Chern #1 are similar to electrons in a Landau-level, but do repulsive interactions really induce the equivalent of fractional quantum Hall states?



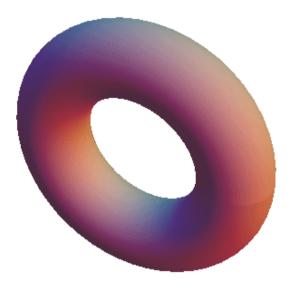
# + Interactions = FQHE ?

- some evidence for such states, which we call "Fractional Chern Insulators" (FCI):
  - existence of a gap & groundstate degeneracy [D. Sheng (2011)]
  - Finite size scaling of gap [Regnault & Bernevig (2011)]
  - count of quasiparticle excitations matches FQHE states (e.g. Laughlin state)

• Want to find a new technique that can be used to make robust conclusions about the nature of phases realised in Chern bands



• Just like the topology of a geometrical object:

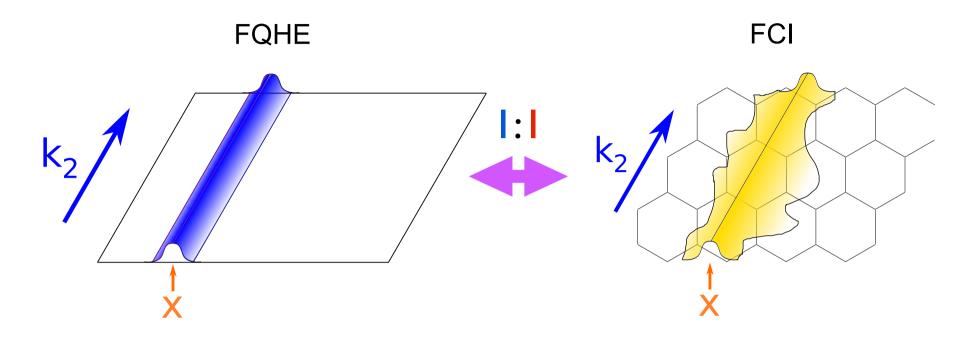


Topological order is invariant under continuous / adiabatic deformations!

• My approach: Devise a method to deform the wavefunction of a fractional quantum Hall state into a fractional Chern insulator without closing the gap.



#### Mapping from FQHE to FCI: Single Particle Orbitals



- Proposal by X.-L. Qi [PRL '11]: Get FCI Wavefunctions by mapping single particle orbitals
- Idea: use Wannier states which are localized in the x-direction
- keep translational invariance in y (cannot create fully localized Wannier state if C>0!)

$$|W(x,k_y)\rangle = \sum_{k_x} f_{k_x}^{(x,k_y)} |k_x,k_y\rangle$$

• Qi's Proposition: using a mapping between the LLL eigenstates (QHE) and localized Wannier states (FCI), we can establish an exact mapping between their many-particle wavefunctions



#### Wannier states in Chern bands

• construction of a Wannier state at fixed  $k_y$  in gauge with  $\mathcal{A}_y=0$ 

$$W(x,k_y)\rangle = \frac{\chi(k_y)}{\sqrt{L_x}} \sum_{k_x} e^{-i\int_0^{k_x} \mathcal{A}_x(p_x,k_y)dp_x} \times e^{ik_x \frac{\theta(k_y)}{2\pi}} \times e^{-ik_x x} |k_x,k_y\rangle$$

'Parallel transport' of phase 'Polarization' Fourier transform Berry connection indicates change of phase due to displacement in BZ of WF in  $k_y \rightarrow k_y + 2\pi$ 

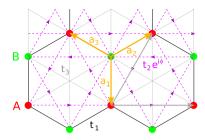
• or, more simply we can think of the Wannier states as the eigenstates of the position operator

$$\hat{X}^{cg} = \lim_{q_x \to 0} \frac{1}{i} \frac{\partial}{\partial q_x} \bar{\rho}_{q_x} \qquad \qquad \hat{X}^{cg} |W(x, k_y)\rangle = [x - \theta(k_y)/2\pi] |W(x, k_y)\rangle$$

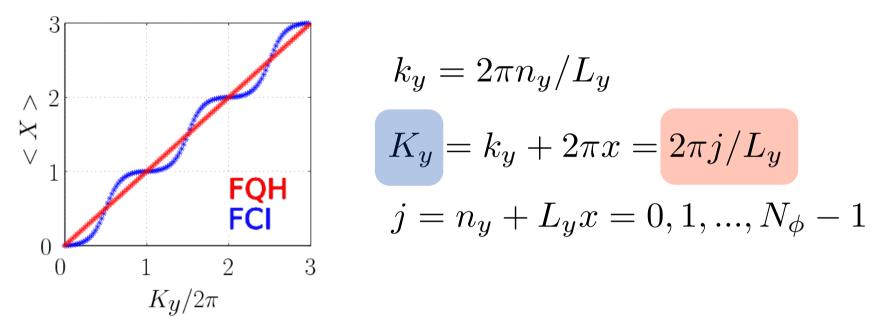
• role of polarization: displacement of centre of mass of the Wannier state

$$\theta(k_y) = \int_0^{2\pi} \mathcal{A}_x(p_x, k_y) dp_x$$





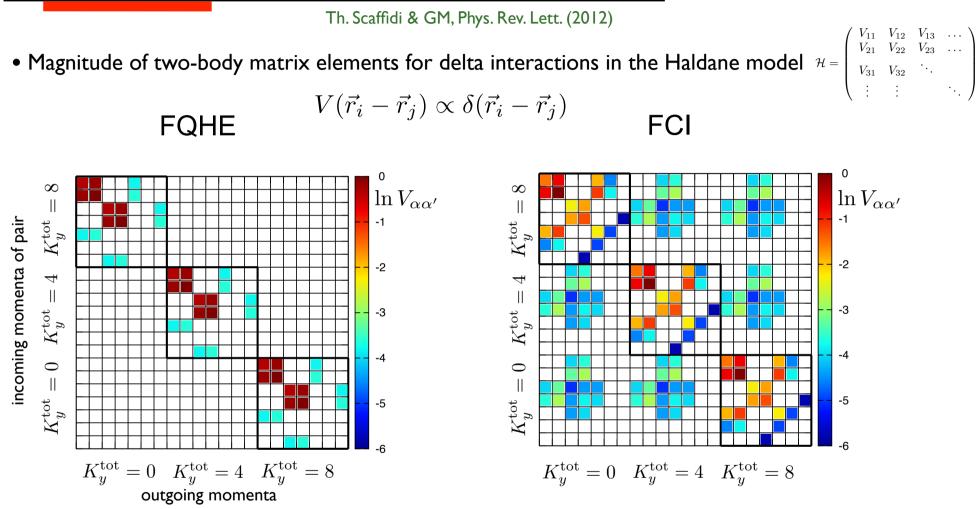
- The Wannier basis for the Chern band produces a sequence of single-particle states with monotonously increasing position
- Similar to the linearly increasing position of the Landau-level basis



• Formulate degenerate perturbation theory in this basis for a fractionally filled Chern band

$$\hat{\mathcal{H}} = K.E. + \sum_{i < j} \hat{V}(\vec{r_i} - \vec{r_j}) \qquad \mathcal{H} = \begin{pmatrix} V_{11} & V_{12} & V_{13} & \dots \\ V_{21} & V_{22} & V_{23} & \dots \\ V_{31} & V_{32} & \ddots & \\ \vdots & \vdots & \ddots \end{pmatrix} \qquad \text{with} \quad V_{ij} = \langle \alpha_j | \hat{V} | \alpha_i \rangle$$

# Visualising contact interactions for bosons



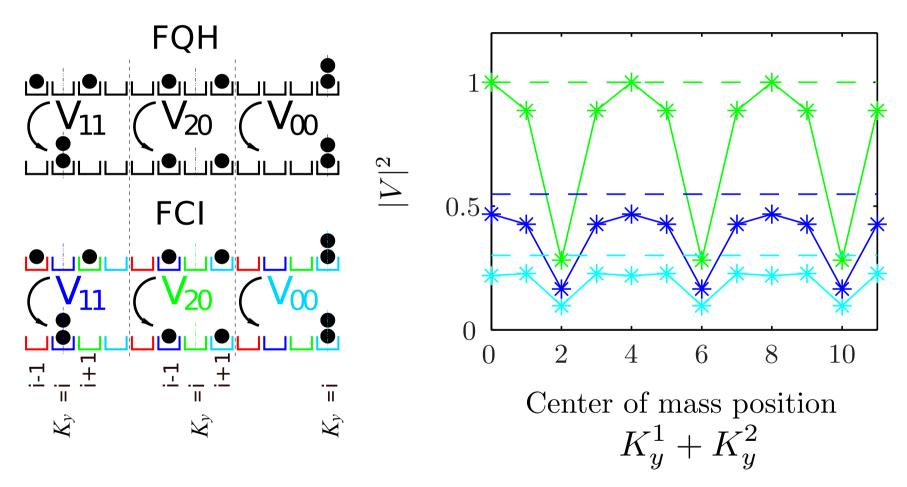
• System shown: two-body interactions for  $\ L_x imes L_y = 3 imes 4$ 

- Matrix elements differ in magnitude, but overall similarities are present
- Different block-structure due to non-conservation of linearised momentum  $K_y$



# Reduced translational invariance in K<sub>y</sub>

• A closer look at some short range hopping processes



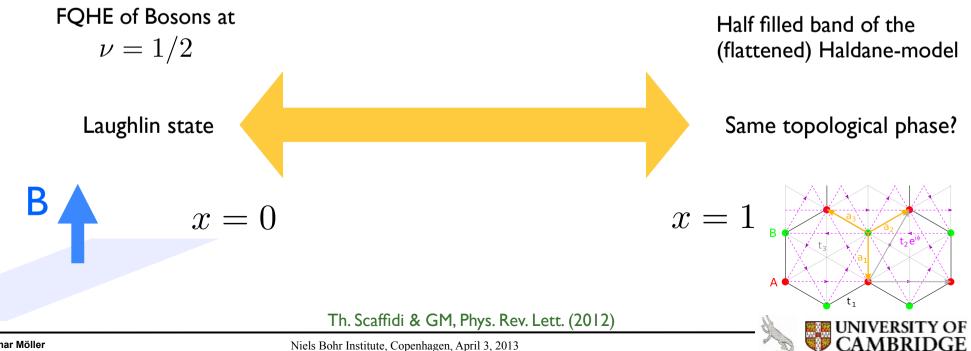
 $\bullet$  for FCI: hopping amplitudes depend on position of centre of mass /  $K_y$ 



- Can write both states in single Hilbert space with the same overall structure (indexed by  $K_y$ ) and study the low-lying spectrum numerically (exact diagonalization)
- Can study adiabatic deformations from the FQHE to a fractionally filled Chern band

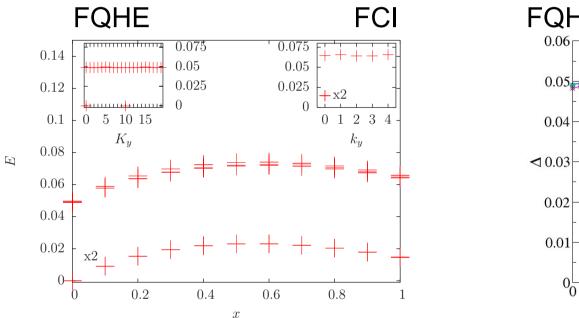
$$\mathcal{H}(x) = \frac{\Delta_{\rm FCI}}{\Delta_{\rm FQHE}} (1-x) \mathcal{H}^{\rm FQHE} + x \mathcal{H}^{\rm FCI}$$

Here: look at half-filled band for bosons

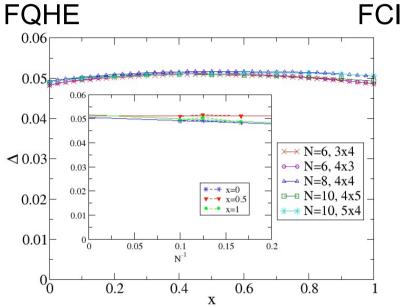


# Adiabatic continuation in the Wannier basis

• Spectrum for N=10 (Hilbert space of dimension d=5x10<sup>6</sup>):



• Gap for different system sizes & aspect ratios:



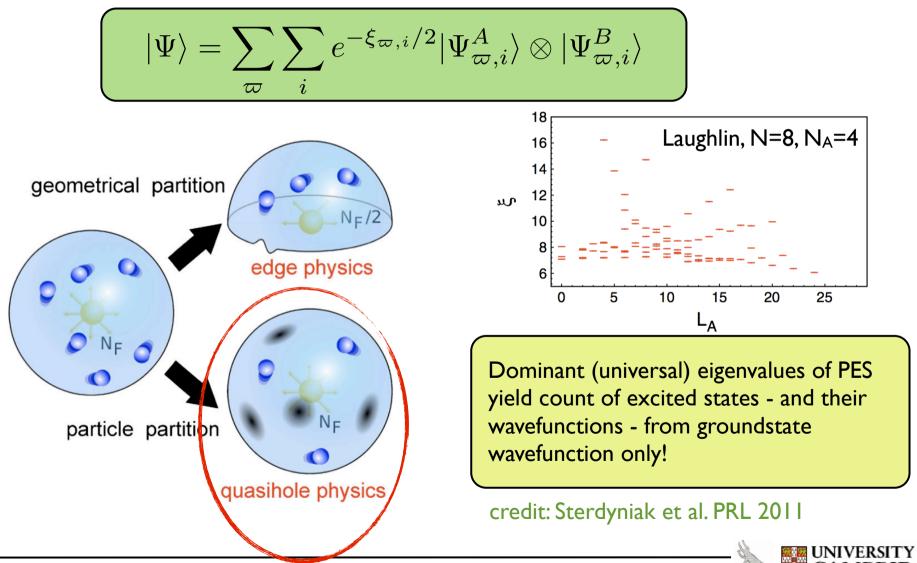
- The gap remains open for all x!
- We confirm the Laughlin state is adiabatically connected to the groundstate of the half-filled topological flat band of the Haldane model

General strategy with possibility to test & predict topological order in the thermodynamic limit

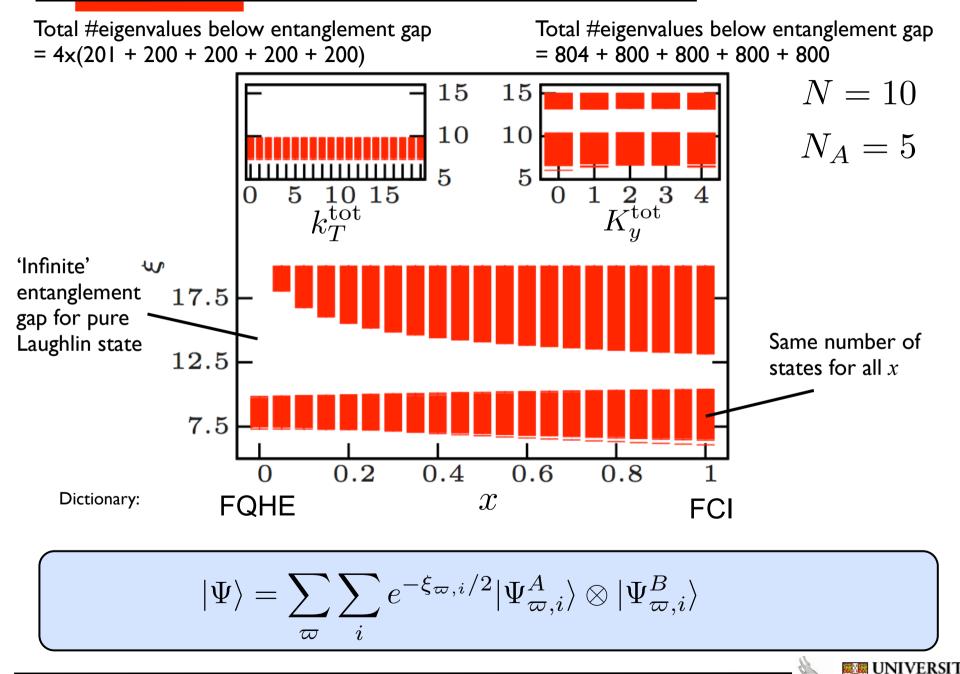
Th. Scaffidi & GM, Phys. Rev. Lett. (2012)

# Entanglement spectra and quasiparticle excitations

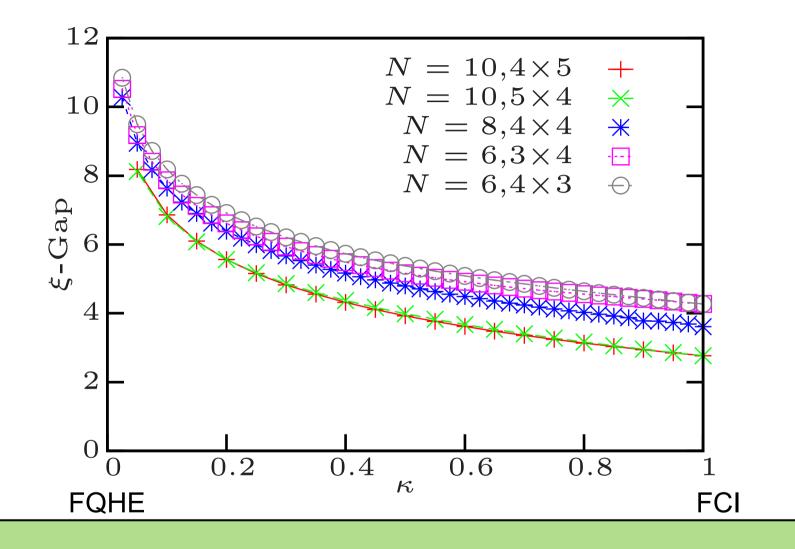
Entanglement spectrum: arises from Schmidt decomposition of ground state into two groups A, B
 => Schmidt eigenvalues ξ plotted over quantum numbers for symmetries within each block



# FCI: Adiabatic continuation of the entanglement spectrum



#### Finite size behaviour of entanglement gap



- The entanglement gap remains open for all values of the interpolation parameter k
- Finite size scaling behaviour encouraging, but analytic dependency on system size unknown



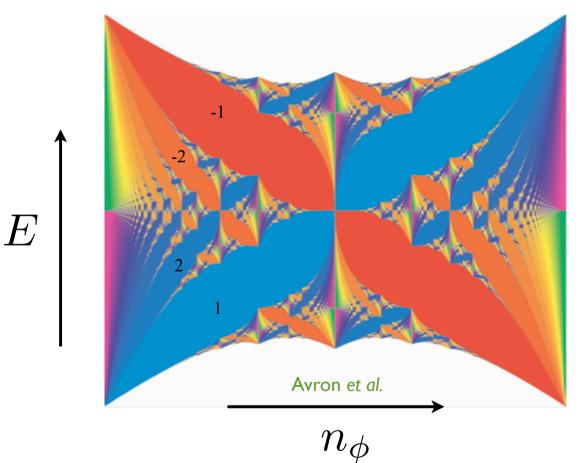
Analytic continuation:

- provides a formal proof of identical topological order between two phases
- may allows robust conclusions about the thermodynamic limit from finite size data
- Successfully applied to a range of systems:
  - Haldane model: Laughlin state (Scaffidi & Möller, PRL 2012)
  - Kagomé lattice model: Laughlin & Moore-Read states (Liu & Bergholtz, PRB 2013)
- Possibility to simulate quantum Hall physics using spin-orbit coupling in solid state materials confirmed.

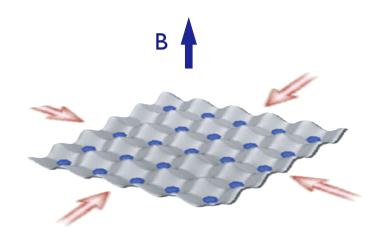


# <u>Is there new physics in Chern Insulators?</u>

- clearly YES: e.g., higher Chern-numbers
- characteristic system featuring bands of any Chern number: the square lattice with constant magnetic flux



color-coding of gaps by Chern numbers - blue: positive, red: negative integers



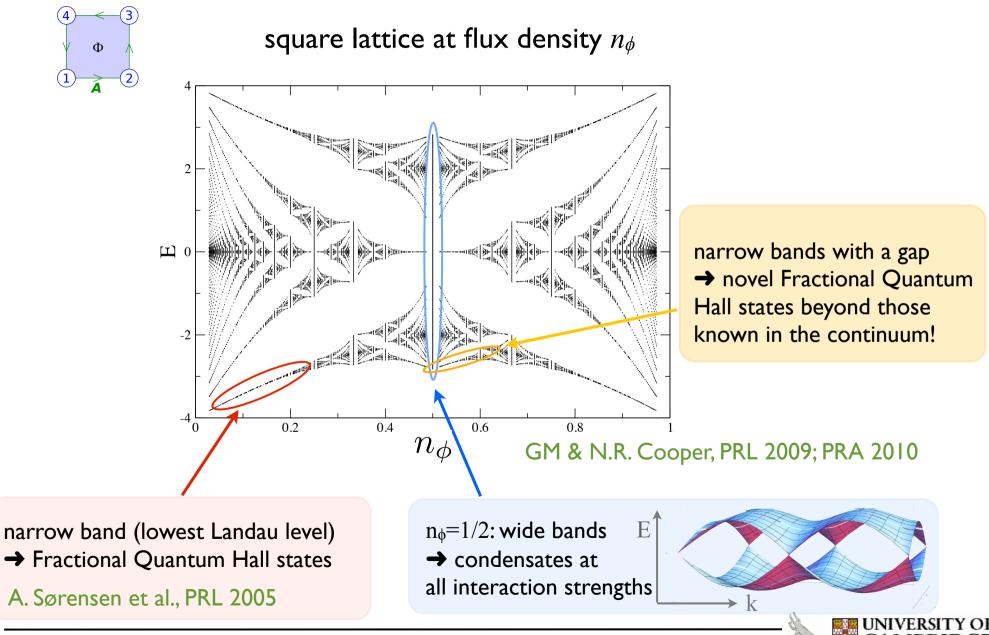
• use Aharonov-Bohm effect to emulate flux

$$\mathcal{H}_{c} = -J \sum_{\langle \alpha, \beta \rangle} \left[ \hat{b}_{\alpha}^{\dagger} \hat{b}_{\beta} e^{iA_{\alpha\beta}} + h.c. \right]$$

$$\sum_{\Box} A_{\alpha\beta} = 2\pi n_{\phi} \quad \stackrel{4 \quad < 3}{\underset{1 \quad a \quad 2}{}}$$



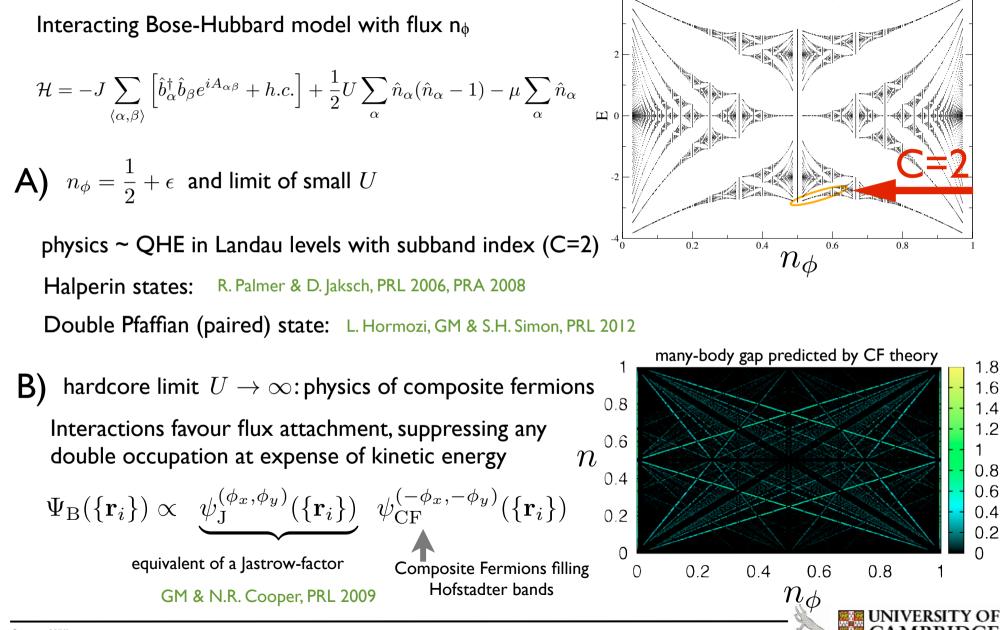
# Many-body phases of the Hofstadter spectrum



Gunnar Möller

Niels Bohr Institute, Copenhagen, April 3, 2013

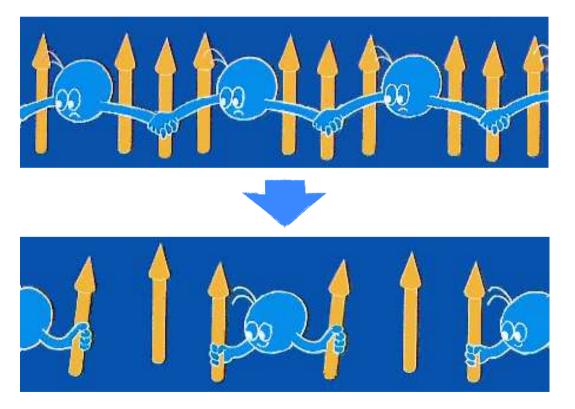
# Strongly correlated phases in the Hofstadter hierarchy



Niels Bohr Institute, Copenhagen, April 3, 2013

$$\mathcal{H} = -J \sum_{\langle \alpha, \beta \rangle} \left[ \hat{b}^{\dagger}_{\alpha} \hat{b}_{\beta} e^{iA_{\alpha\beta}} + h.c. \right] + \frac{1}{2} U \sum_{\alpha} \hat{n}_{\alpha} (\hat{n}_{\alpha} - 1) - \mu \sum_{\alpha} \hat{n}_{\alpha}$$

Account for repulsive interactions  $U \ge 0$  by "flux-attachment" (Fradkin 1988, Jain 1989)



drawings: K. Park

Continuum Landau-level for fermions at filling 1/3: three flux per particle

Composite fermions = electron + 2 flux quanta

$$\Psi \propto \prod_{i < j} (z_i - z_j)^2 \Psi_{\rm CF}$$

I flux per composite particle



# **Composite Fermions in the Hofstadter Spectrum**

I. Flux attachment for bosonic atoms:  $n_{\phi}^{*}=n_{\phi}\mp n_{\phi}$ 

 $\Psi_B \propto \prod_{i < j} (z_i - z_j) \Psi_{\rm CF} \Rightarrow$  transformation of statistics!

2. Effective spectrum at flux  $n_{\phi}^*$  is again a Hofstadter problem  $\Rightarrow$  weakly interacting CF will fill bands, so obtain density *n* by counting bands using fractal structure

 $\Rightarrow$  linear relation of flux and density for bands under a gap

$$n = \alpha n_{\phi}^* + \delta$$

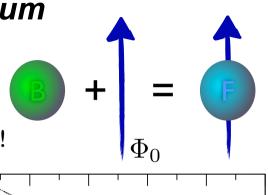
3. Construct Composite Fermion wavefunction

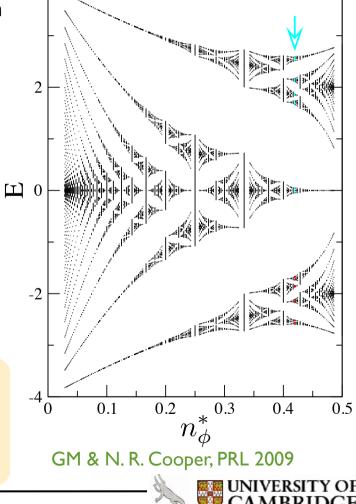
continuum: 
$$\Psi_{\rm B}({\mathbf{r}_i}) \propto \mathcal{P}_{LLL} \prod_{i < j} (z_i - z_j) \psi_{\rm CF}({\mathbf{r}_i})$$

Vandermonde / Slater determinant of LLL states

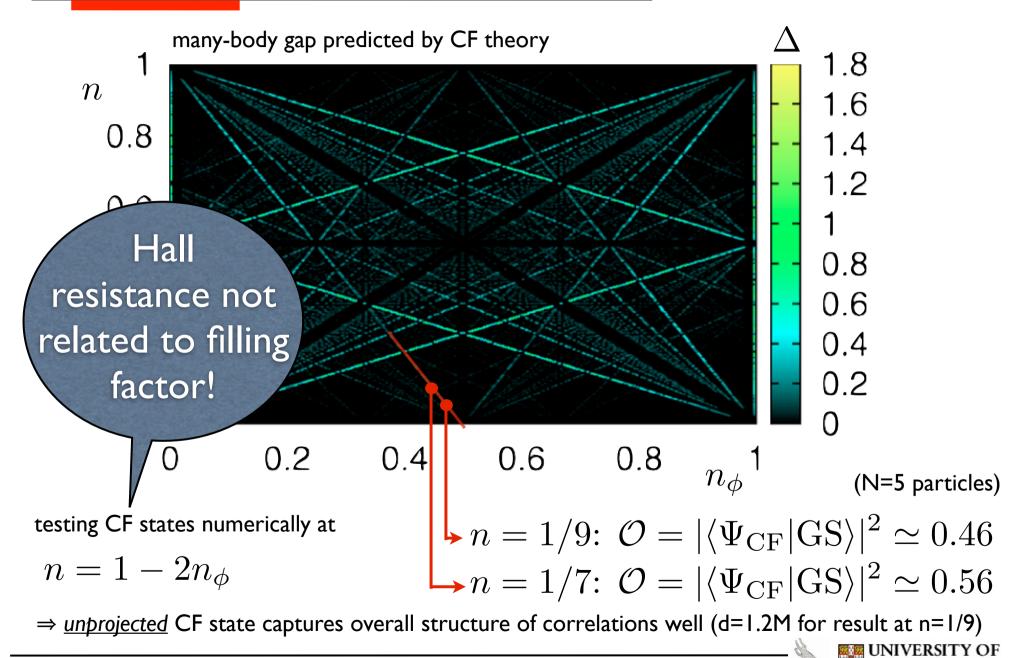
lattice: 
$$\Psi_{\rm B}({\mathbf{r}_i}) \propto \underbrace{\psi_{\rm J}^{(\phi_x,\phi_y)}({\mathbf{r}_i})}_{\mathcal{U}} \psi_{\rm CF}^{(-\phi_x,-\phi_y)}({\mathbf{r}_i})$$

Slater determinant of Hofstadter orbitals at flux density  $n_{\phi}^0 = n$ 

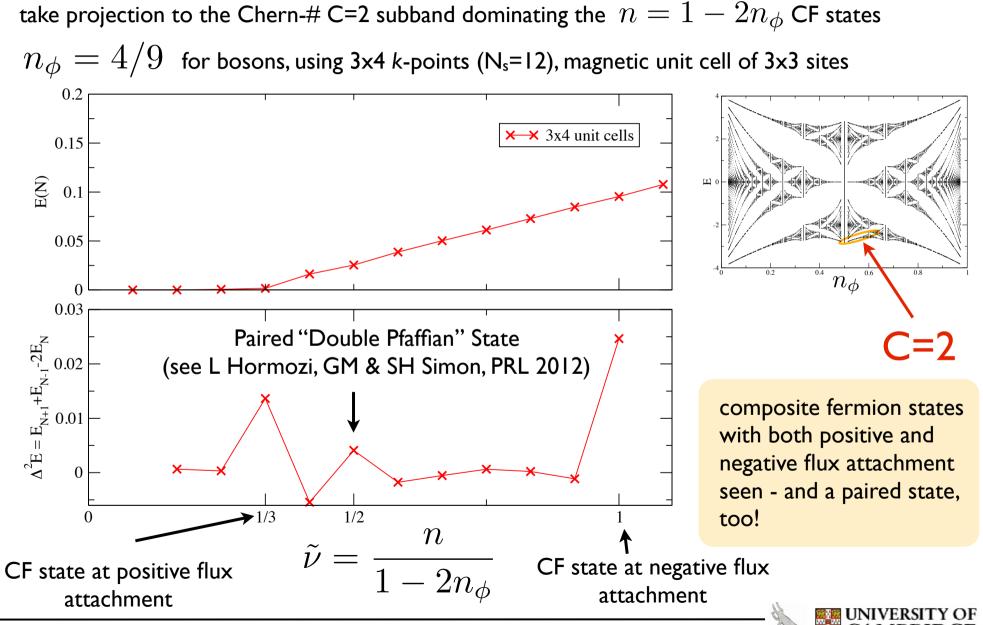




#### **Composite Fermion Theory: Predictions & Verification**



# **Composite Fermion States in the band projected model**



#### Conclusions

• Many new systems in which FQHE-like physics of Chern-Insulators can be induced:

- spin-orbit coupled materials
- optical flux lattices or artifical gauge fields in cold atomic gases

 For C=1 bands, can identify quantum liquids in FCI models by analytic continuation to usual FQHE in the continuum lowest Landau level - useful tool for identifying phases
 T. Scaffidi & GM, Phys. Rev. Lett. 109, 246805 (2012).

New physics can be found in Chern bands with higher C >1
can use picture of LL + subband / color index to describe C >1 bands, but color index is not conserved!
many-body phases in C >1 bands first analyzed for the Hofstadter spectrum: GM & N. R. Cooper, "Composite Fermion Theory for Bosonic Quantum Hall States on Lattices", Phys. Rev. Lett. 103, 105303 (2009).

